# Classification of quasifinite representations with nonzero central charges for type $A_1$ EALA with coordinates in quantum torus\*

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Abstract: In this paper, we first construct a Lie algebra L from rank 3 quantum torus, and show that it is isomorphic to the core of EALAs of type  $A_1$  with coordinates in rank 2 quantum torus. Then we construct two classes of irreducible **Z**-graded highest weight representations, and give the necessary and sufficient conditions for these representations to be quasifinite. Next, we prove that they exhaust all the generalized highest weight irreducible **Z**-graded quasifinite representations. As a consequence, we determine all the irreducible **Z**-graded quasifinite representations with nonzero central charges. Finally, we construct two classes of highest weight  $\mathbf{Z}^2$ -graded quasifinite representations by using these **Z**-graded modules.

**Keyword:** core of EALAs, graded representations, quasifinite representations, highest weight representations, quantum torus.

#### §1 Introduction

Extended affine Lie algebras (EALAs) are higher dimensional generalizations of affine Kac-Moody Lie algebras introduced in [1] (under the name of irreducible quasi-simple Lie algebras). They can be roughly described as complex Lie algebras which have a nondegenerate invariant form, a self-centralizing finite-dimensional ad-diagonalizable Abelian subalgebra (i.e., a Cartan subalgebra), a discrete irreducible root system, and ad-nilpotency of nonisotropic root spaces (see [2–4]). Toroidal Lie algebras, which are universal central extensions of  $\dot{\mathfrak{g}} \otimes \mathbf{C}[t_1^{\pm 1}, \dots, t_n^{\pm 1}]$  ( $\dot{\mathfrak{g}}$  is a finite-dimensional simple Lie algebra), are prime examples of EALAs studied in [5–11], among others. There are many EALAs which allow not only Laurent polynomial algebra  $\mathbf{C}[t_1^{\pm 1}, \dots, t_n^{\pm 1}]$  as coordinate algebra but also quantum tori, Jordan tori and the octonians tori as coordinated algebras depending on type of the Lie algebra (see [2, 3, 12–14]). The structure theory of the EALAs of type  $A_{d-1}$  is tied up with Lie algebra  $gl_d(\mathbf{C}) \otimes \mathbf{C}_Q$  where  $\mathbf{C}_Q$  is the quantum torus. Quantum torus defined in [15] are noncommutative analogue of Laurent polynomial algebras.

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The universal center extension of the derivation Lie algebra of rank 2 quantum torus is known as the q-analog Virasoro-like algebra (see [16]). Representations for Lie algebras coordinated by certain quantum tori have been studied by many people (see [17–22] and the references therein). The structure and representations of the q-analog Virasoro-like algebra are studied in many papers (see [23–27]). In this paper, we first construct a Lie algebra L from rank 3 quantum torus, which contains the q-analog Virasoro-like algebra as its Lie subalgebra, and show that it is isomorphic to the core of EALAs of type  $A_1$  with coordinates in rank 2 quantum torus. Then we study quasifinite representation of L.

When we study quasifinite representations of a Lie algebra of this kind, as pointed out by Kac and Radul in [28], we encounter the difficulty that though it is **Z**-graded, the graded subspaces are still infinite dimensional, thus the study of quasifinite modules is a nontrivial problem.

Now we explain this paper in detail. In Section 2, we first recall some concepts about the quantum torus and EALAs of type  $A_1$ . Next, we construct a Lie algebra L from a special class of rank 3 quantum, and show that L is isomorphic to the core of EALAs of type  $A_1$  with coordinates in rank 2 quantum torus. Then, we prove some basic propositions and reduce the classification of irreducible **Z**-graded representations of L to that of the generalized highest weight representations and the uniformly bounded representations. In Section 3, we construct two class of irreducible **Z**-graded highest weight representations of L, and give the necessary and sufficient conditions for these representations to be quasifinite. In Section 4, we prove that the generalized highest weight irreducible **Z**-graded quasifinite representations of L must be the highest weight representations, and thus the representations constructed in Section 3 exhaust all the generalized highest weight quasifinite representations. As a consequence, we complete the classification of irreducible **Z**-graded quasifinite representations of L with nonzero central charges, see Theorem 4.4 (the Main Theorem). In Section 5, we construct two classes of highest weight L-graded quasifinite representations.

#### §2 Basics

Throughout this paper we use  $\mathbf{C}, \mathbf{Z}, \mathbf{Z}_+, \mathbf{N}$  to denote the sets of complex numbers, integers, nonnegative integers, positive integers respectively. And we use  $\mathbf{C}^*, \mathbf{Z}^{2*}$  to denote the set of nonzero complex numbers and  $\mathbf{Z}^2 \setminus \{(0,0)\}$  respectively. All spaces considered in this paper are over  $\mathbf{C}$ . As usual, if  $u_1, u_2, \dots, u_k$  are elements on some vector space, we use  $\langle u_1, \dots, u_k \rangle$  to denote their linear span over  $\mathbf{C}$ . Let q be a nonzero complex number. We shall fix a generic q throughout this paper.

Now we recall the concept of quantum torus from [15]. Let  $\nu$  be a positive integer and  $Q = (q_{ij})$  be a  $\nu \times \nu$  matrix, where

$$q_{ij} \in \mathbf{C}^*, \ q_{ii} = 1, \ q_{ij} = q_{ji}^{-1}, \quad \text{for } 0 \le i, j \le \nu - 1.$$

A quantum torus associated to Q is the unital associative algebra  $\mathbf{C}_Q[t_0^{\pm 1},\cdots,t_{\nu-1}^{\pm 1}]$  (or, simply

 $\mathbf{C}_Q$ ) with generators  $t_0^{\pm 1}, \cdots, t_{\nu-1}^{\pm 1}$  and relations

$$t_i t_i^{-1} = t_i^{-1} t_i = 1$$
 and  $t_i t_j = q_{ij} t_j t_i$ ,  $\forall 0 \le i, j \le \nu - 1$ .

Write  $t^{\mathbf{m}} = t_0^{m_0} t_1^{m_1} \cdots t_{\nu-1}^{m_{\nu-1}}$  for  $\mathbf{m} = (m_0, m_1, \cdots, m_{\nu-1})$ . Then

$$t^{\mathbf{m}} \cdot t^{\mathbf{n}} = \Big( \prod_{0 \le j \le i \le \nu-1} q_{ij}^{m_i n_j} \Big) t^{\mathbf{m} + \mathbf{n}},$$

where  $\mathbf{m}, \mathbf{n} \in \mathbf{Z}^{\nu}$ . If  $Q = \begin{pmatrix} 1 & q^{-1} \\ q & 1 \end{pmatrix}$ , we will simply denote  $\mathbf{C}_Q$  by  $\mathbf{C}_q$ .

Next we recall the construction of EALAs of type  $A_1$  with coordinates in  $\mathbf{C}_{q^2}$ . Let  $E_{ij}$  be the  $2 \times 2$  matrix which is 1 in the (i, j)-entry and 0 everywhere else. The Lie algebra  $\tilde{\tau} = gl_2(\mathbf{C}_{q^2})$  is defined by

$$[E_{ij}(t^{\mathbf{m}}), E_{kl}(t^{\mathbf{n}})]_0 = \delta_{j,k}q^{2m_2n_1}E_{il}(t^{\mathbf{m}+\mathbf{n}}) - \delta_{l,i}q^{2n_2m_1}E_{kj}(t^{\mathbf{m}+\mathbf{n}}),$$

where  $1 \leq i, j, k, l \leq 2, \mathbf{m} = (m_1, m_2)$  and  $\mathbf{n} = (n_1, n_2)$  are in  $\mathbf{Z}^2$ . Thus the derived Lie subalgebra of  $\tilde{\tau}$  is  $\bar{\tau} = sl_2(\mathbf{C}_{q^2}) \oplus \langle I(t^{\mathbf{m}}) \mid \mathbf{m} \in \mathbf{Z}^{2*} \rangle$ , where  $I = E_{11} + E_{22}$ , since q is generic. And the universal central extension of  $\bar{\tau}$  is  $\tau = \bar{\tau} \oplus \langle K_1, K_2 \rangle$  with the following Lie bracket

$$[X(t^{\mathbf{m}}), Y(t^{\mathbf{n}})] = [X(t^{\mathbf{m}}), Y(t^{\mathbf{n}})]_0 + \delta_{\mathbf{m}+\mathbf{n},0} q^{2m_2n_1}(X, Y)(m_1K_1 + m_2K_2),$$

$$K_1, K_2$$
 are central,

where  $X(t^{\mathbf{m}}), Y(t^{\mathbf{n}}) \in \overline{\tau}$  and (X, Y) is the trace of XY. The Lie algebra  $\tau$  is the core of the EALAs of type  $A_1$  with coordinates in  $\mathbf{C}_{q^2}$ . If we add degree derivations  $d_1, d_2$  to  $\tau$ , then  $\tau \oplus \langle d_1, d_2 \rangle$  becomes an EALAs since q is generic.

Now we construct our Lie algebra. Let

$$Q = \left(\begin{array}{ccc} 1 & -1 & 1\\ -1 & 1 & q^{-1}\\ 1 & q & 1 \end{array}\right).$$

Let J be the two-sided ideal of  $C_Q$  generated by  $t_0^2 - 1$ . Define

$$\widetilde{L} = \mathbf{C}_Q / J = \langle t_0^i t_1^j t_2^k \mid i \in \mathbf{Z}_2, \ j, k \in \mathbf{Z} \rangle,$$

be the quotient of  $\mathbf{C}_Q$  by J and identify  $t_0$  with its image in  $\widetilde{L}$ . Then the derived Lie subalgebra of  $\widetilde{L}$  is  $\overline{L} = \langle t_0^{\overline{0}} t^{\mathbf{m}} \mid \mathbf{m} \in \mathbf{Z}^{2*} \rangle \oplus \langle t_0^{\overline{1}} t^{\mathbf{m}} \mid \mathbf{m} \in \mathbf{Z}^2 \rangle$ . Now we define a central extension of  $\overline{L}$ , which will be denoted by  $L = \overline{L} \oplus \langle c_1, c_2 \rangle$ , with the following Lie bracket

$$[t_0^it^{\mathbf{m}},t_0^jt^{\mathbf{n}}]=((-1)^{m_1j}q^{m_2n_1}-(-1)^{in_1}q^{m_1n_2})t_0^{i+j}t^{\mathbf{m}+\mathbf{n}}+(-1)^{m_1j}q^{m_2n_1}\delta_{i+j,\bar{0}}\delta_{\mathbf{m}+\mathbf{n},0}(m_1c_1+m_2c_2),$$

 $c_1, c_2$  are central,

where  $i, j \in \mathbf{Z}_2$ ,  $\mathbf{m} = (m_1, m_2)$  and  $\mathbf{n} = (n_1, n_2)$  are in  $\mathbf{Z}^2$ . One can easily see that  $\langle t_0^{\bar{0}} t^{\mathbf{m}} \mid \mathbf{m} \in \mathbf{Z}^{2*} \rangle \oplus \langle c_1, c_2 \rangle$  is a Lie subalgebra of L, which is isomorphic to the q-analog Virasoro-like algebra. First we prove that the Lie algebra L is in fact isomorphic to the core of the EALAs of type  $A_1$  with coordinates in  $\mathbf{C}_{q^2}$ .

**Proposition 2.1** The Lie algebra L is isomorphic to  $\tau$  and the isomorphism is given by the linear extension of the following map  $\varphi$ :

where  $t_0^i t_1^{2m_1+1} t_2^{m_2}$ ,  $t_0^i t_1^{2m_1} t_2^{m_2} \in L$ .

**Proof** We need to prove that  $\varphi$  preserves Lie bracket. First we have

$$\begin{split} &[(-1)^iq^{-m_2}E_{12}(t_1^{m_1}t_2^{m_2})+E_{21}(t_1^{m_1+1}t_2^{m_2}),(-1)^jq^{-n_2}E_{12}(t_1^{n_1}t_2^{n_2})+E_{21}(t_1^{n_1+1}t_2^{n_2})]\\ &=\Big((-1)^jq^{m_2(2n_1+1)}-(-1)^iq^{n_2(2m_1+1)}\Big)\Big((-1)^{i+j}E_{11}(t_1^{m_1+n_1+1}t_2^{m_2+n_2})\\ &\qquad \qquad +q^{-m_2-n_2}E_{22}(t_1^{m_1+n_1+1}t_2^{m_2+n_2})\Big)\\ &+\delta_{m_1+n_1+1,0}\delta_{m_2+n_2,0}(-1)^jq^{m_2(2n_1+1)}\Big((-1)^{i+j}(m_1K_1+m_2K_2)+(m_1+1)K_1+m_2K_2\Big)\\ &=\Big((-1)^jq^{m_2(2n_1+1)}-(-1)^iq^{n_2(2m_1+1)}\Big)\Big((-1)^{i+j}E_{11}(t_1^{m_1+n_1+1}t_2^{m_2+n_2})\\ &\qquad \qquad +q^{-m_2-n_2}E_{22}(t_1^{m_1+n_1+1}t_2^{m_2+n_2})\Big)\\ &+\delta_{i+j,\bar{0}}\delta_{m_1+n_1+1,0}\delta_{m_2+n_2,0}(-1)^jq^{m_2(2n_1+1)}((2m_1+1)K_1+2m_2K_2)\\ &+\delta_{i+j,\bar{1}}\delta_{m_1+n_1+1,0}\delta_{m_2+n_2,0}(-1)^jq^{m_2(2n_1+1)}K_1. \end{split}$$

On the other hand, we have

$$\begin{split} [t_0^i t_1^{2m_1+1} t_2^{m_2}, t_0^j t_1^{2n_1+1} t_2^{n_2}] &= \Big( (-1)^j q^{m_2(2n_1+1)} - (-1)^i q^{(2m_1+1)n_2} \Big) t_0^{i+j} t_1^{2m_1+2n_1+2} t_2^{m_2+n_2} \\ &+ \delta_{i+j,\bar{0}} \delta_{2m_1+2n_1+2,0} \delta_{m_2+n_2,0} (-1)^j q^{m_2(2n_1+1)} ((2m_1+1)c_1 + m_2 c_2). \end{split}$$

Thus

$$\varphi([t_0^it_1^{2m_1+1}t_2^{m_2}),t_0^jt_1^{2n_1+1}t_2^{n_2}]) = [\varphi(t_0^it_1^{2m_1+1}t_2^{m_2}),\varphi(t_0^jt_1^{2n_1+1}t_2^{n_2})].$$

Similarly, we have

$$\begin{split} &[\varphi(t_0^it_1^{2m_1}t_2^{m_2}),\varphi(t_0^jt_1^{2n_1}t_2^{n_2})]\\ &=[(-1)^iE_{11}(t_1^{m_1}t_2^{m_2})+q^{-m_2}E_{22}(t_1^{m_1}t_2^{m_2}),(-1)^jE_{11}(t_1^{n_1}t_2^{n_2})+q^{-n_2}E_{22}(t_1^{n_1}t_2^{n_2})]\\ &=(q^{2m_2n_1}-q^{2n_2m_1})\Big((-1)^{i+j}E_{11}(t_1^{m_1+n_1}t_2^{m_2+n_2})+q^{-m_2-n_2}E_{22}(t_1^{m_1+n_1}t_2^{m_2+n_2})\Big)\\ &+\delta_{m_1+n_1,0}\delta_{m_2+n_2,0}\delta_{i+j,\bar{0}}q^{2m_2n_1}(2m_1K_1+2m_2K_2), \end{split}$$

and

$$\begin{split} [t_0^i t_1^{2m_1} t_2^{m_2}, t_0^j t_1^{2n_1} t_2^{n_2}] &= (q^{2m_2n_1} - q^{2m_1n_2}) t_0^{i+j} t_1^{2m_1 + 2n_1} t_2^{m_2 + n_2} \\ &+ \delta_{i+j,\bar{0}} \delta_{m_1 + n_1,0} \delta_{m_2 + n_2,0} q^{2m_2n_1} (2m_1c_1 + m_2c_2). \end{split}$$

Therefore

$$[\varphi(t_0^it_1^{2m_1}t_2^{m_2}), \varphi(t_0^jt_1^{2n_1}t_2^{n_2})] = \varphi([t_0^it_1^{2m_1}t_2^{m_2}, t_0^jt_1^{2n_1}t_2^{n_2}]).$$

Finally, we have

$$\begin{split} & \left[ \varphi(t_0^i t_1^{2m_1+1} t_2^{m_2}), \varphi(t_0^j t_1^{2n_1} t_2^{n_2}) \right] \\ & = \left[ (-1)^i q^{-m_2} E_{12}(t_1^{m_1} t_2^{m_2}) + E_{21}(t_1^{m_1+1} t_2^{m_2}), (-1)^j E_{11}(t_1^{n_1} t_2^{n_2}) + q^{-n_2} E_{22}(t_1^{n_1} t_2^{n_2}) \right] \\ & = \left( (-1)^j q^{2m_2n_1} - q^{n_2(2m_1+1)} \right) \left( (-1)^{i+j} q^{-m_2-n_2} E_{12}(t_1^{m_1+n_1} t_2^{m_2+n_2}) + E_{21}(t_1^{m_1+n_1+1} t_2^{m_2+n_2}) \right), \end{split}$$

and

$$[t_0^it_1^{2m_1+1}t_2^{m_2},t_0^jt_1^{2n_1}t_2^{n_2}] = ((-1)^jq^{2m_2n_1} - q^{n_2(2m_1+1)})t_0^{i+j}t_1^{2m_1+2n_1+1}t_2^{m_2+n_2}.$$

Thus

$$[\varphi(t_0^it_1^{2m_1+1}t_2^{m_2}),\varphi(t_0^jt_1^{2n_1}t_2^{n_2})]=\varphi([t_0^it_1^{2m_1+1}t_2^{m_2},t_0^jt_1^{2n_1}t_2^{n_2}]).$$

This completes the proof.

**Remark 2.2** From the proof of above proposition, one can easily see that  $gl_2(\mathbf{C}_{q^2}) \cong \widetilde{L}$  and  $\overline{\tau} \cong \overline{L}$ .

Next we will recall some concepts about the  $\mathbf{Z}$ -graded L-modules. Fix a  $\mathbf{Z}$ -basis

$$\mathbf{m}_1 = (m_{11}, m_{12}), \ \mathbf{m}_2 = (m_{21}, m_{22}) \in \mathbf{Z}^2.$$

If we define the degree of the elements in  $\langle t_0^i t^{j\mathbf{m}_1+k\mathbf{m}_2} \in L \mid i \in \mathbf{Z}_2, k \in \mathbf{Z} \rangle$  to be j and the degree of the elements in  $\langle c_1, c_2 \rangle$  to be zero, then L can be regarded as a **Z**-graded Lie algebra:

$$L_j = \langle t_0^i t^{j\mathbf{m}_1 + k\mathbf{m}_2} \in L \mid i \in \mathbf{Z}_2, k \in \mathbf{Z} \rangle \oplus \delta_{j,0} \langle c_1, c_2 \rangle.$$

Set

$$L_+ = \bigoplus_{j \in \mathbf{N}} L_j, \quad L_- = \bigoplus_{-j \in \mathbf{N}} L_j.$$

Then  $L = \bigoplus_{j \in \mathbf{Z}} L_j$  and L has the following triangular decomposition

$$L = L_- \oplus L_0 \oplus L_+$$
.

**Definition** For any L-module V, if  $V = \bigoplus_{m \in \mathbb{Z}} V_m$  with

$$L_j \cdot V_m \subset V_{m+j}, \ \forall \ j, m \in \mathbf{Z},$$

then V is called a **Z**-graded L-module and  $V_m$  is called a homogeneous subspace of V with degree  $m \in \mathbf{Z}$ . The L-module V is called

- (i) a quasi-finite **Z**-graded module if dim  $V_m < \infty$ ,  $\forall m \in \mathbf{Z}$ ;
- (ii) a uniformly bounded module if there exists some  $N \in \mathbb{N}$  such that dim  $V_m \leq N$ ,  $\forall m \in \mathbb{Z}$ ;
- (iii) a highest (resp. lowest) weight module if there exists a nonzero homogeneous vector  $v \in V_m$  such that V is generated by v and  $L_+ \cdot v = 0$  (resp.  $L_- \cdot v = 0$ );
- (iv) a generalized highest weight module with highest degree m (see, e.g., [31]) if there exist a  $\mathbf{Z}$ -basis  $B = \{\mathbf{b_1}, \mathbf{b_2}\}$  of  $\mathbf{Z}^2$  and a nonzero vector  $v \in V_m$  such that V is generated by v and  $t_0^i t^{\mathbf{m}} \cdot v = 0, \forall \mathbf{m} \in \mathbf{Z}_+ \mathbf{b_1} + \mathbf{Z}_+ \mathbf{b_2}, i \in \mathbf{Z}_2$ ;
- (v) an *irreducible*  $\mathbb{Z}$ -graded module if V does not have any nontrivial  $\mathbb{Z}$ -graded submodule (see, e.g., [29]).

We denote the set of quasi-finite irreducible **Z**-graded L-modules by  $\mathcal{O}_{\mathbf{Z}}$ . From the definition, one sees that the generalized highest weight modules contain the highest weight modules and the lowest weight modules as their special cases. As the central elements  $c_1$ ,  $c_2$  of L act on irreducible graded modules V as scalars, we shall use the same symbols to denote these scalars.

Now we study the structure and representations of  $L_0$ . Note that by the theory of Verma modules, the irreducible **Z**-graded highest (or lowest) weight L-modules are classified by the characters of  $L_0$ .

**Lemma 2.3** (1) If  $m_{21}$  is an even integer then  $L_0$  is a Heisenberg Lie algebra.

(2) If  $m_{21}$  is an odd integer then

$$L_0 = (\mathcal{A} + \mathcal{B}) \oplus \langle m_{11}c_1 + m_{12}c_2 \rangle,$$

where  $\mathcal{A} = \langle t_0^{\bar{0}} t^{2j\mathbf{m}_2}, m_{21}c_1 + m_{22}c_2 \mid j \in \mathbf{Z} \rangle$  is a Heisenberg Lie algebra and

$$\mathcal{B} = \langle t_0^{\bar{1}} t^{j \mathbf{m}_2}, t_0^{\bar{0}} t^{(2j+1) \mathbf{m}_2}, m_{21} c_1 + m_{22} c_2 \mid j \in \mathbf{Z} \rangle,$$

which is isomorphic to the affine Lie algebra  $A_1^{(1)}$  and the isomorphism is given by the linear extension of the following map  $\phi$ :

$$t_0^{\bar{1}} t^{2j\mathbf{m}_2} \mapsto -q^{-2j^2 m_{22} m_{21}} ((E_{11} - E_{22})(x^j) + \frac{1}{2} K),$$
 (1)

$$t_0^i t^{(2j+1)\mathbf{m}_2} \mapsto q^{-\frac{1}{2}(2j+1)^2 m_{22} m_{21}} ((-1)^i E_{12}(x^j) + E_{21}(x^{j+1})),$$
 (2)

$$m_{21}c_1 + m_{22}c_2 \mapsto K.$$
 (3)

Moreover, we have [A, B] = 0.

**Proof** Statement (1) can be easily deduced from the definition of  $L_0$ .

(2) To show  $\mathcal{B} \cong A_1^{(1)}$ , we need to prove that  $\phi$  preserves Lie bracket. Notice that

$$\left[q^{-\frac{1}{2}(2j+1)^{2}m_{22}m_{21}}\left((-1)^{i}E_{12}(x^{j}) + E_{21}(x^{j+1})\right), q^{-\frac{1}{2}(2l+1)^{2}m_{22}m_{21}}\left((-1)^{k}E_{12}(x^{l}) + E_{21}(x^{l+1})\right)\right] 
= q^{-\frac{1}{2}((2j+1)^{2} + (2l+1)^{2})m_{22}m_{21}}\left(((-1)^{i} - (-1)^{k})(E_{11} - E_{22})(x^{j+l+1}) 
+ \delta_{j+l+1,0}((-1)^{i}j + (-1)^{k}(j+1))K\right),$$

and

$$[t_0^i t^{(2j+1)\mathbf{m}_2}, t_0^k t^{(2k+1)\mathbf{m}_2}] = ((-1)^k - (-1)^i)q^{(2j+1)(2k+1)m_{22}m_{21}}t_0^{i+k}t^{(2j+2k+2)\mathbf{m}_2} + \delta_{i+k,\bar{0}}\delta_{j+k+1,0}(-1)^kq^{(2j+1)(2k+1)m_{22}m_{21}}(2j+1)(m_{21}c_1 + m_{22}c_2).$$

One sees that

$$\phi([t_0^i t^{(2j+1)\mathbf{m}_2}, t_0^k t^{(2k+1)\mathbf{m}_2}]) = [\phi(t_0^i t^{(2j+1)\mathbf{m}_2}), \phi(t_0^k t^{(2k+1)\mathbf{m}_2})].$$

Consider

$$[-q^{-2j^2m_{22}m_{21}}((E_{11}-E_{22})(x^j)+\frac{1}{2}K),q^{-\frac{1}{2}(2l+1)^2m_{22}m_{21}}((-1)^kE_{12}(x^l)+E_{21}(x^{l+1}))]$$

$$= -q^{-\frac{1}{2}(4j^2+(2l+1)^2)m_{22}m_{21}}(2(-1)^kE_{12}(x^{l+j})-2E_{21}(x^{l+j+1}))$$

and

$$[t_0^{\bar{1}}t^{2j\mathbf{m}_2},t_0^kt^{(2l+1)\mathbf{m}_2}]=2q^{2j(2l+1)m_{22}m_{21}}t_0^{k+\bar{1}}t^{(2j+2l+1)\mathbf{m}_2}$$

we have

$$\phi([t_0^{\bar{1}}t^{2j\mathbf{m}_2},t_0^kt^{(2l+1)\mathbf{m}_2}]) = [\phi(t_0^{\bar{1}}t^{2j\mathbf{m}_2}),\phi(t_0^kt^{(2l+1)\mathbf{m}_2})].$$

Finally, we have

$$[-q^{-2j^2m_{22}m_{21}}((E_{11}-E_{22})(x^j)+\frac{1}{2}K),-q^{-2l^2m_{22}m_{21}}((E_{11}-E_{22})(x^l)+\frac{1}{2}K)]$$
  
=  $2jq^{-2(j^2+l^2)m_{22}m_{21}}\delta_{j+l,0}K=2jq^{4jlm_{22}m_{21}}\delta_{j+l,0}K,$ 

and

$$[t_0^{\bar{1}}t^{2j\mathbf{m}_2}, t_0^{\bar{1}}t^{2l\mathbf{m}_2}] = 2jq^{4jlm_{22}m_{21}}\delta_{j+l,0}(m_{21}c_1 + m_{22}c_2).$$

Thus

$$\phi([t_0^{\bar{1}}t^{2j\mathbf{m}_2},t_0^{\bar{1}}t^{2l\mathbf{m}_2}]) = [\phi(t_0^{\bar{1}}t^{2j\mathbf{m}_2}),\phi(t_0^{\bar{1}}t^{2l\mathbf{m}_2})].$$

This proves  $\mathcal{B} \cong A_1^{(1)}$ . And the proof of the rest results in this lemma is straightforward.

Since the Lie subalgebra  $\mathcal{B}$  of  $L_0$  is isomorphic to the affine Lie algebra  $A_1^{(1)}$ , we need to collect some results on the finite dimensional irreducible modules of  $A_1^{(1)}$  from [30].

Let  $\nu > 0$  and  $\underline{\mu} = (\mu_1, \mu_2, \dots, \mu_{\nu})$  be a finite sequence of nonzero distinct numbers. Let  $V_i$ ,  $1 \le i \le \nu$  be finite dimensional irreducible  $sl_2$ -modules. We define an  $A_1^{(1)}$ -module  $V(\underline{\mu}) = V_1 \otimes V_2 \otimes \cdots \otimes V_{\nu}$  as follows, for  $X \in sl_2, j \in \mathbf{Z}$ ,

$$X(x^j)\cdot (v_1\otimes v_2\otimes \cdots \otimes v_{\nu}) = \sum_{i=1}^{\nu} \mu_i^j v_1\otimes \cdots \otimes (X\cdot v_i)\otimes \cdots \otimes v_{\nu}, \quad K\cdot (v_1\otimes \cdots \otimes v_{\nu}) = 0.$$

Clearly  $V(\underline{\mu})$  is a finite dimensional irreducible  $A_1^{(1)}$ -module. For any  $Q(x) \in \mathbf{C}[x^{\pm 1}]$ , we have

$$X(Q(x)) \cdot (V_1 \otimes \cdots \otimes V_{\nu}) = 0, \ \forall \ X \in sl_2 \iff \prod_{i=1}^{\nu} (x - \mu_1) \mid Q(x).$$

Now by Lemma 2.3(2), if  $m_{21}$  is an odd integer then we can define a finite dimensional irreducible  $L_0$ -module  $V(\underline{\mu}, \psi) = V_1 \otimes \cdots \otimes V_{\nu}$  as follows

$$t_{0}^{\bar{0}}t^{2j\mathbf{m}_{2}}\cdot(v_{1}\otimes\cdots\otimes v_{\nu})=\psi(t_{0}^{\bar{0}}t^{2j\mathbf{m}_{2}})\cdot(v_{1}\otimes\cdots\otimes v_{\nu}),$$

$$t_{0}^{\bar{1}}t^{2j\mathbf{m}_{2}}\cdot(v_{1}\otimes\cdots\otimes v_{\nu})=-q^{-2j^{2}m_{22}m_{21}}\sum_{i=1}^{\nu}\mu_{i}^{j}v_{1}\otimes\cdots\otimes((E_{11}-E_{22})\cdot v_{i})\otimes\cdots\otimes v_{\nu},$$

$$t_{0}^{i}t^{(2j+1)\mathbf{m}_{2}}\cdot(v_{1}\otimes\cdots\otimes v_{\nu})=q^{-\frac{1}{2}(2j+1)^{2}m_{22}m_{21}}\left((-1)^{i}\sum_{i=1}^{\nu}\mu_{i}^{j}v_{1}\otimes\cdots\otimes(E_{12}\cdot v_{i})\otimes\cdots\otimes v_{\nu}\right),$$

$$+\sum_{i=1}^{\nu}\mu_{i}^{j+1}v_{1}\otimes\cdots\otimes(E_{21}\cdot v_{i})\otimes\cdots\otimes v_{\nu}\right),$$

$$(m_{21}c_{1}+m_{22}c_{2})\cdot(v_{1}\otimes\cdots\otimes v_{\nu})=0,\quad\forall\ v_{1}\otimes\cdots\otimes v_{\nu}\in V(\underline{\mu},\psi),\ j\in\mathbf{Z},\ i\in\mathbf{Z}_{2},$$

where  $\nu > 0$ ,  $\underline{\mu} = (\mu_1, \mu_2, \dots, \mu_{\nu})$  is a finite sequence of nonzero distinct numbers,  $V_i$ ,  $1 \le i \le \nu$  are finite dimensional irreducible  $sl_2$ -modules, and  $\psi$  is a linear function over  $\mathcal{A}$ .

**Theorem 2.4 ([30, Theorem 2.14])** Let V be a finite dimensional irreducible  $A_1^{(1)}$ module. Then V is isomorphic to  $V(\underline{\mu})$  for some finite dimensional irreducible  $sl_2$ -modules  $V_1, \dots, V_{\nu}$  and a finite sequence of nonzero distinct numbers  $\underline{\mu} = (\mu_1, \dots, \mu_{\nu})$ .

From the above theorem and Lemma 2.3, we have the following theorem.

**Theorem 2.5** Let  $m_{21}$  be an odd integer and V be a finite dimensional irreducible  $L_0$ module. Then V is isomorphic to  $V(\underline{\mu}, \psi)$ , where  $V_1, \dots, V_{\nu}$  are some finite dimensional irreducible  $sl_2$ -modules,  $\underline{\mu} = (\mu_1, \dots, \mu_{\nu})$  is a finite sequence of nonzero distinct numbers, and  $\psi$  is
a linear function over A.

**Remark 2.6** Let  $m_{21}$  be an odd integer and  $V(\underline{\mu}, \psi)$  be a finite dimensional irreducible  $L_0$ -modules defined as above. One can see that for any  $k \in \mathbf{Z}_2$ ,

$$\left(\sum_{i=1}^{n} b_{i} q^{\frac{1}{2}(2i+1)^{2} m_{22} m_{21}} t_{0}^{k} t^{(2i+1) \mathbf{m}_{2}}\right) \cdot \left(V_{1} \otimes \cdots \otimes V_{\nu}\right) = 0, \text{ and}$$

$$\left(\sum_{i=1}^{n} b_{i} q^{2i^{2} m_{22} m_{21}} t_{0}^{\bar{1}} t^{2i \mathbf{m}_{2}}\right) \cdot \left(V_{1} \otimes \cdots \otimes V_{\nu}\right) = 0,$$

if and only if  $\prod_{i=1}^{\nu} (x - \mu_1) \mid (\sum_{i=1}^{n} b_i x^i)$ .

At the end of this section, we will prove a proposition which reduces the classification of the irreducible **Z**-graded modules with finite dimensional homogeneous subspaces to that of the generalized highest weight modules and the uniformly bounded modules.

**Proposition 2.7** If V is an irreducible **Z**-graded L-module, then V is a generalized highest weight module or a uniformly bounded module.

**Proof** Let  $V = \bigoplus_{m \in \mathbf{Z}} V_m$ . We first prove that if there exists a **Z**-basis  $\{\mathbf{b}_1, \mathbf{b}_2\}$  of  $\mathbf{Z}^2$  and a homogeneous vector  $v \neq 0$  such that  $t_0^i t^{\mathbf{b}_1} \cdot v = t_0^i t^{\mathbf{b}_2} \cdot v = 0$ ,  $\forall i \in \mathbf{Z}/2\mathbf{Z}$ , then V is a generalized highest weight modules.

To obtain this, we first introduce the following notation: For any  $A \subset \mathbf{Z}^2$ , we use  $t^A$  to denote the set  $\{t^a | a \in A\}$ .

Then one can deduce that  $t_0^i t^{\mathbf{N}\mathbf{b}_1 + \mathbf{N}\mathbf{b}_2} \cdot v = 0$ ,  $\forall i \in \mathbf{Z}/2\mathbf{Z}$  by the assumption. Thus for the **Z**-basis  $\mathbf{m}_1 = 3\mathbf{b}_1 + \mathbf{b}_2$ ,  $\mathbf{m}_2 = 2\mathbf{b}_1 + \mathbf{b}_2$  of  $\mathbf{Z}^2$  we have  $t_0^i t^{\mathbf{Z}_1 + \mathbf{m}_1 + \mathbf{Z}_1 + \mathbf{m}_2} v = 0$ ,  $\forall i \in \mathbf{Z}_2$ . Therefore V is a generalized highest weight module by the definition.

With the above statement, we can prove our proposition now. Suppose that V is not a generalized highest weight module. For any  $m \in \mathbf{Z}$ , considering the following maps

$$\begin{array}{lll} t_0^{\bar{0}} t^{-m\mathbf{m}_1+\mathbf{m}_2}: & V_m \mapsto V_0, & t_0^{\bar{1}} t^{-m\mathbf{m}_1+\mathbf{m}_2}: & V_m \mapsto V_0, \\ t_0^{\bar{0}} t^{(1-m)\mathbf{m}_1+\mathbf{m}_2}: & V_m \mapsto V_1, & t_0^{\bar{1}} t^{(1-m)\mathbf{m}_1+\mathbf{m}_2}: & V_m \mapsto V_1, \end{array}$$

one can easily check that

$$\ker t_0^{\bar{0}} t^{-m\mathbf{m}_1+\mathbf{m}_2} \cap \ker t_0^{\bar{0}} t^{(1-m)\mathbf{m}_1+\mathbf{m}_2} \cap \ker t_0^{\bar{1}} t^{-m\mathbf{m}_1+\mathbf{m}_2} \cap \ker t_0^{\bar{1}} t^{(1-m)\mathbf{m}_1+\mathbf{m}_2} = \{0\}.$$

Therefore  $\dim V_m \leq 2\dim V_0 + 2\dim V_1$ . So V is a uniformly bounded module.

#### §3 The highest weight irreducible Z-graded L-modules

For any finite dimensional irreducible  $L_0$ -module V, we can define it as a  $(L_0 + L_+)$ -module by putting  $L_+v = 0$ ,  $\forall v \in V$ . Then we obtain an induced L-module,

$$\overline{M}^+(V,\mathbf{m}_1,\mathbf{m}_2) = \operatorname{Ind}_{L_0+L_+}^L V = U(L) \otimes_{U(L_0+L_+)} V \simeq U(L_-) \otimes V,$$

where U(L) is the universal enveloping algebra of L. If we set V to be the homogeneous subspace of  $\overline{M}^+(V, \mathbf{m}_1, \mathbf{m}_2)$  with degree 0, then  $\overline{M}^+(V, \mathbf{m}_1, \mathbf{m}_2)$  becomes a **Z**-graded L-module in a natural way. Obviously,  $\overline{M}^+(V, \mathbf{m}_1, \mathbf{m}_2)$  has an unique maximal proper submodule J which trivially intersects with V. So we obtain an irreducible **Z**-graded highest weight L-module,

$$M^+(V, \mathbf{m}_1, \mathbf{m}_2) = \overline{M}^+(V, \mathbf{m}_1, \mathbf{m}_2)/J.$$

We can write it as

$$M^+(V, \mathbf{m}_1, \mathbf{m}_2) = \bigoplus_{i \in \mathbf{Z}_+} V_{-i},$$

where  $V_{-i}$  is the homogeneous subspaces of degree -i. Since  $L_{-}$  is generated by  $L_{-1}$ , and  $L_{+}$  is generated by  $L_{1}$ , by the construction of  $M^{+}(V, \mathbf{m}_{1}, \mathbf{m}_{2})$ , we see that

$$L_{-1}V_{-i} = V_{-i-1}, \quad \forall \ i \in \mathbf{Z}_+,$$
 (3.1)

and for a homogeneous vector v,

$$L_1 \cdot v = 0 \implies v = 0. \tag{3.2}$$

Similarly, we can define an irreducible lowest weight **Z**-graded L-module  $M^-(V, \mathbf{m}_1, \mathbf{m}_2)$  from any finite dimensional irreducible  $L_0$ -module V.

If  $m_{21} \in \mathbf{Z}$  is even then  $L_0$  is a Heisenberg Lie algebra by Lemma 2.3. Thus, by a well-known result about the representations of the Heisenberg Lie algebra, we see that the finite dimensional irreducible  $L_0$ -module V must be a one dimensional module  $\mathbf{C}v_0$ , and there is a linear function  $\psi$  over  $L_0$  such that

$$t_0^i t^{j\mathbf{m}_2} \cdot v_0 = \psi(t_0^i t^{j\mathbf{m}_2}) \cdot v_0, \ \psi(m_{21}c_1 + m_{22}c_2) = 0, \ \forall \ i \in \mathbf{Z}_2, j \in \mathbf{Z}.$$

In this case, we denote the corresponding highest weight, resp., lowest weight, irreducible  $\mathbf{Z}$ -graded L-module by

$$M^+(\psi, \mathbf{m}_1, \mathbf{m}_2), \quad \text{resp.}, \quad M^-(\psi, \mathbf{m}_1, \mathbf{m}_2).$$

If  $m_{21}$  is an odd integer then V must be isomorphic to  $V(\underline{\mu}, \psi)$  by Theorem 2.5. We denote the corresponding highest weight, resp. lowest weight, irreducible **Z**-graded L-module by

$$M^+(\mu, \psi, \mathbf{m}_1, \mathbf{m}_2), \quad \text{resp.}, \quad M^-(\mu, \psi, \mathbf{m}_1, \mathbf{m}_2).$$

The irreducible **Z**-graded *L*-modules  $M^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$  and  $M^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$  are in general not quasi-finite modules. Thus in the rest of this section we shall determine which of  $\underline{\mu}$  and  $\psi$  can correspond to quasi-finite modules.

For the later use, we obtain the following equations from the definition of L, where,  $\alpha = m_{11}m_{22} - m_{12}m_{21} \in \{\pm 1\}$ ,

$$\begin{aligned} & [t_0^j t^{\mathbf{m}_1 + k \mathbf{m}_2}, t_0^r t^{-\mathbf{m}_1 + s \mathbf{m}_2} t^{i \mathbf{m}_2}] \\ &= q^{i(-m_{12} + s m_{22})m_{21}} [t_0^j t^{\mathbf{m}_1 + k \mathbf{m}_2}, t_0^r t^{-\mathbf{m}_1 + (s+i)\mathbf{m}_2}] \\ &= q^{-m_{11}m_{12} - k m_{11}m_{22} + s m_{12}m_{21} + k s m_{21}m_{22}} (-1)^{r(m_{11} + k m_{21})} \times \\ &\times \left( (1 - (-1)^{(j+r)m_{11} + (kr+js+ji)m_{21}} q^{(k+s+i)\alpha}) t_0^{j+r} t^{(k+s)\mathbf{m}_2} t^{i \mathbf{m}_2} \right. \\ &\left. + \delta_{k+s+i,0} \delta_{j+r,\bar{0}} q^{-(k+s)^2 m_{21} m_{22}} ((m_{11} + k m_{21})c_1 + (m_{12} + k m_{22})c_2) \right), \end{aligned}$$
(3)

$$\begin{split} &[t_0^s t^{k\mathbf{m}_2} t^{i\mathbf{m}_2}, t_0^r t^{-\mathbf{m}_1 + j\mathbf{m}_2}] \\ &= q^{kim_{22}m_{21}} [t_0^s t^{(k+i)\mathbf{m}_2}, t_0^r t^{-\mathbf{m}_1 + j\mathbf{m}_2}] \\ &= q^{km_{22}(-m_{11} + jm_{21})} (-1)^{(rk+ri)m_{21}} (q^{-i\alpha} - (-1)^{sm_{11} + (rk+ri+sj)m_{21}} q^{k\alpha}) \times \\ &\times t_0^{r+s} t^{-\mathbf{m}_1 + (k+j)\mathbf{m}_2} t^{i\mathbf{m}_2}. \end{split} \tag{4}$$

In the rest of this section, if  $P(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{i\mathbf{m}_2} \in \mathbf{C}[t^{\mathbf{m}_2}]$  then we will denote  $\sum_{i=0}^n a_i b^i t^{i\mathbf{m}_2}$  by  $P(bt^{\mathbf{m}_2})$  for any  $b \in \mathbf{C}$ .

**Lemma 3.1** Let  $m_{21}$  be an even integer. Then  $M^{\pm}(\psi, \mathbf{m}_1, \mathbf{m}_2) \in \mathcal{O}_{\mathbf{Z}}$  if and only if there exists a polynomial  $P(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{i\mathbf{m}_2} \in \mathbf{C}[t^{\mathbf{m}_2}]$  with  $a_0 a_n \neq 0$  such that for  $k \in \mathbf{Z}, j \in \mathbf{Z}_2$ ,

$$\psi\left(t_0^j t^{k\mathbf{m}_2} P(t^{\mathbf{m}_2}) - (-1)^j q^{k\alpha} t_0^j t^{k\mathbf{m}_2} P(q^{\alpha} t^{\mathbf{m}_2}) + \delta_{j,\bar{0}} a_{-k} q^{-k^2 m_{21} m_{22}} \beta\right) = 0, \tag{3.5}$$

where  $a_k = 0$  if  $k \notin \{0, 1, \dots, n\}$ , and  $\alpha = m_{11}m_{22} - m_{12}m_{21} \in \{\pm 1\}$ ,  $\beta = m_{11}c_1 + m_{12}c_2$ .

**Proof** Since  $m_{21}$  is an even integer and  $m_{11}m_{22} - m_{12}m_{21} \in \{\pm 1\}$ , we see  $m_{11}$  is an odd integer.

"\ifftrace". Since dim $V_{-1} < \infty$ , there exist an integer s and a polynomial  $P(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{i\mathbf{m}_2}$   $\in \mathbf{C}[t^{\mathbf{m}_2}]$  with  $a_0 a_n \neq 0$  such that

$$t_0^{\bar{0}}t^{-\mathbf{m}_1+s\mathbf{m}_2}P(t^{\mathbf{m}_2})\cdot v_0=0.$$

Applying  $t_0^j t^{\mathbf{m}_1 + k\mathbf{m}_2}$  for any  $k \in \mathbf{Z}, j \in \mathbf{Z}_2$  to the above equation, we have that

$$0 = t_0^j t^{\mathbf{m}_1 + k\mathbf{m}_2} \cdot t_0^{\bar{0}} t^{-\mathbf{m}_1 + s\mathbf{m}_2} P(t^{\mathbf{m}_2}) \cdot v_0 = \sum_{i=0}^n [t_0^j t^{\mathbf{m}_1 + k\mathbf{m}_2}, a_i t_0^{\bar{0}} t^{-\mathbf{m}_1 + s\mathbf{m}_2} t^{i\mathbf{m}_2}] \cdot v_0.$$

Thus, by (3.3), we have

$$0 = \psi \left( \sum_{i=0}^{n} a_i \left( (1 - (-1)^j q^{(k+s+i)\alpha}) t_0^j t^{(k+s)\mathbf{m}_2} t^{i\mathbf{m}_2} + \delta_{k+s+i,0} \delta_{j,\bar{0}} q^{-(k+s)^2 m_{21} m_{22}} \beta \right) \right)$$

$$= \psi \left( t_0^j t^{(k+s)\mathbf{m}_2} P(t^{\mathbf{m}_2}) - (-1)^j q^{(k+s)\alpha} t_0^j t^{(k+s)\mathbf{m}_2} P(q^{\alpha} t^{\mathbf{m}_2}) + a_{-k-s} \delta_{j,\bar{0}} q^{-(k+s)^2 m_{21} m_{22}} \beta \right)$$

Therefore this direction follows.

" $\leftarrow$ ". By induction on s we first show the following claim.

**Claim.** For any  $s \in \mathbf{Z}_+$ , there exists polynomial  $P_s(t^{\mathbf{m}_2}) = \sum_{i \in \mathbf{Z}} a_{s,i} t^{i\mathbf{m}_2} \in \mathbf{C}[t^{\mathbf{m}_2}]$  such that

$$\left( t_0^r t^{k \mathbf{m}_2} P_s(t^{\mathbf{m}_2}) - (-1)^r q^{k \alpha} t_0^r t^{k \mathbf{m}_2} P_s(q^{\alpha} t^{\mathbf{m}_2}) + \delta_{r,\bar{0}} a_{s,-k} q^{-k^2 m_{21} m_{22}} \beta \right) \cdot V_{-s} = 0,$$

$$t_0^r t^{-\mathbf{m}_1 + k \mathbf{m}_2} P_s(t^{\mathbf{m}_2}) \cdot V_{-s} = 0, \quad \forall \ r \in \mathbf{Z}_2, k \in \mathbf{Z}.$$

For s = 0, the first equation holds with  $P_0(t^{\mathbf{m}_2}) = P(t^{\mathbf{m}_2})$  (with P being as in the necessity), and by (3.2), the second equation can be deduced by a calculation similar to the proof of the necessity. Suppose the claim holds for s. Let us consider the claim for s + 1.

Note that the equations in the claim are equivalent to

$$\left(t_0^r Q(t^{\mathbf{m}_2}) - (-1)^r t_0^r Q(q^{\alpha} t^{\mathbf{m}_2}) + \delta_{r,\bar{0}} a_Q \beta\right) \cdot V_{-s} = 0,$$

$$t_0^r t^{-\mathbf{m}_1 + k\mathbf{m}_2} Q(t^{\mathbf{m}_2}) \cdot V_{-s} = 0, \quad \forall \ r \in \mathbf{Z}_2, k \in \mathbf{Z},$$
(6)

for any  $Q(t^{\mathbf{m}_2}) \in \mathbf{C}[t^{\pm \mathbf{m}_2}]$  with  $P_s(t^{\mathbf{m}_2}) \mid Q(t^{\mathbf{m}_2})$ , where  $a_Q$  is the constant term of  $Q(t^{\mathbf{m}_2})$ .

Let 
$$P_{s+1}(t^{\mathbf{m}_2}) = P_s(q^{\alpha}t^{\mathbf{m}_2})P_s(t^{\mathbf{m}_2})P_s(q^{-\alpha}t^{\mathbf{m}_2})$$
, then

$$P_s(t^{\mathbf{m}_2}) \mid P_{s+1}(t^{\mathbf{m}_2}), \quad P_s(t^{\mathbf{m}_2}) \mid P_{s+1}(q^{\alpha}t^{\mathbf{m}_2}) \quad \text{and} \quad P_s(t^{\mathbf{m}_2}) \mid P_{s+1}(q^{-\alpha}t^{\mathbf{m}_2}).$$

For any  $p, r \in \mathbf{Z}_2$ ,  $j, k \in \mathbf{Z}$ , by induction and (3.4), we have

$$\begin{split} &\left(t_{0}^{r}t^{k\mathbf{m}_{2}}P_{s+1}(t^{\mathbf{m}_{2}})-(-1)^{r}q^{k\alpha}t_{0}^{r}t^{k\mathbf{m}_{2}}P_{s+1}(q^{\alpha}t^{\mathbf{m}_{2}})+\delta_{r,\bar{0}}a_{s+1,-k}q^{-k^{2}m_{21}m_{22}}\beta\right)\cdot t_{0}^{p}t^{-\mathbf{m}_{1}+j\mathbf{m}_{2}}\cdot V_{-s}\\ &=\left[t_{0}^{r}t^{k\mathbf{m}_{2}}P_{s+1}(t^{\mathbf{m}_{2}})-(-1)^{r}q^{k\alpha}t_{0}^{r}t^{k\mathbf{m}_{2}}P_{s+1}(q^{\alpha}t^{\mathbf{m}_{2}})+\delta_{r,\bar{0}}a_{s+1,-k}q^{-k^{2}m_{21}m_{22}}\beta,t_{0}^{p}t^{-\mathbf{m}_{1}+j\mathbf{m}_{2}}\right]\cdot V_{-s}\\ &=q^{-km_{22}m_{11}+kjm_{22}m_{21}}\left(t_{0}^{r+p}t^{-\mathbf{m}_{1}+(k+j)\mathbf{m}_{2}}\left(P_{s+1}(q^{-\alpha}t^{\mathbf{m}_{2}})-2(-1)^{r}q^{k\alpha}P_{s+1}(t^{\mathbf{m}_{2}})\right)+q^{2k\alpha}P_{s+1}(q^{\alpha}t^{\mathbf{m}_{2}})\right)\right)\cdot V_{-s}\\ &=0. \end{split}$$

Thus, by (3.1) and (3.2), we obtain that

$$\left(t_0^r t^{k \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) - (-1)^r q^{k \alpha} t_0^r t^{k \mathbf{m}_2} P_{s+1}(q^{\alpha} t^{\mathbf{m}_2}) + \delta_{r,\bar{0}} a_{s+1,-k} q^{-k^2 m_{21} m_{22}} \beta\right) \cdot V_{-s-1} = 0. \quad (3.7)$$

This proves the first equation in the claim for i = s + 1.

Using (3.3), (3.6) and induction, we deduce that for any  $l, k \in \mathbb{Z}$ ,  $n, r \in \mathbb{Z}_2$ ,

$$\begin{split} &t_0^n t^{\mathbf{m}_1 + l \mathbf{m}_2} \cdot t_0^r t^{-\mathbf{m}_1 + k \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) \cdot V_{-s-1} \\ &= [t_0^n t^{\mathbf{m}_1 + l \mathbf{m}_2}, t_0^r t^{-\mathbf{m}_1 + k \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2})] \cdot V_{-s-1} + t_0^r t^{-\mathbf{m}_1 + k \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) \cdot t_0^n t^{\mathbf{m}_1 + l \mathbf{m}_2} \cdot V_{-s-1} \\ &= (-1)^r q^{-m_{11} m_{12} + k m_{12} m_{21} - l m_{11} m_{22} + l k m_{21} m_{22}} \left( t_0^{n+r} t^{(l+k) \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) \right. \\ &\quad - (-1)^{n+r} q^{(k+l)\alpha} t_0^{n+r} t^{(l+k) \mathbf{m}_2} P_{s+1}(q^{\alpha} t^{\mathbf{m}_2}) + a_{s+1, -l-k} \delta_{r+n, \bar{0}} q^{-(l+k)^2 m_{21} m_{22}} \beta \right) \cdot V_{-s-1} \\ &= 0, \end{split}$$

since  $t_0^n t^{\mathbf{m}_1 + l\mathbf{m}_2} \cdot V_{-s-1} \in V_{-s}$ . Hence by (3.2),

$$t_0^r t^{-\mathbf{m}_1 + k\mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) \cdot V_{-s-1} = 0 \text{ for all } r \in \mathbf{Z}_2, \ k \in \mathbf{Z},$$

which implies the second equation in the claim for i = s + 1. Therefore the claim follows by induction.

From the second equation of the claim and (3.1), we see that

$$\dim V_{-s-1} \le 2\deg(P_{s+1}(t^{\mathbf{m}_2})) \cdot \dim V_s, \ \forall \ s \in \mathbf{Z}_+,$$

where  $\deg(P_{s+1}(t^{\mathbf{m}_2}))$  denotes the degree of polynomial  $P_{s+1}(t^{\mathbf{m}_2})$ . Hence  $M^+(\psi, \mathbf{m}_1, \mathbf{m}_2) \in \mathcal{O}_{\mathbf{Z}}$ . Similarly we can prove the statement for  $M^-(\psi, \mathbf{m}_1, \mathbf{m}_2)$ .

**Theorem 3.2** Let  $m_{21}$  be an even integer. Then  $M^{\pm}(\psi, \mathbf{m}_{1}, \mathbf{m}_{2}) \in \mathcal{O}_{\mathbf{Z}}$  if and only if there exist  $b_{10}^{(j)}, b_{11}^{(j)}, \dots, b_{1s_{1}}^{(j)}, b_{20}^{(j)}, b_{21}^{(j)}, \dots, b_{2s_{2}}^{(j)}, \dots, b_{r0}^{(j)}, b_{r1}^{(j)}, \dots, b_{rs_{r}}^{(j)} \in \mathbf{C}$  for  $j \in \mathbf{Z}_{2}$ , and  $\alpha_{1}, \dots, \alpha_{r} \in \mathbf{C}^{*}$  such that for any  $i \in \mathbf{Z}^{*}$ ,  $j \in \mathbf{Z}_{2}$ ,

$$\psi(t_0^j t^{i\mathbf{m}_2}) = \frac{(b_{10}^{(j)} + b_{11}^{(j)} i + \dots + b_{1s_1}^{(j)} i^{s_1}) \alpha_1^i + \dots + (b_{r0}^{(j)} + b_{r1}^{(j)} i + \dots + b_{rs_r}^{(j)} i^{s_r}) \alpha_r^i}{(1 - (-1)^j q^{i\alpha}) q^{\frac{1}{2} i^2 m_{21} m_{22}}},$$

$$\psi(\beta) = b_{10}^{(0)} + b_{20}^{(0)} + \dots + b_{r0}^{(0)},$$

$$\psi(t_0^{\bar{1}} t^0) = \frac{1}{2} (b_{10}^{(1)} + b_{20}^{(1)} + \dots + b_{r0}^{(1)}), \quad and \quad \psi(m_{21} c_1 + m_{22} c_2) = 0,$$

where  $\alpha = m_{11}m_{22} - m_{21}m_{12} \in \{\pm 1\}$  and  $\beta = m_{11}c_1 + m_{12}c_2$ .

**Proof** " $\Longrightarrow$ ". Let  $f_{j,i} = \psi((1-(-1)^jq^{i\alpha})q^{\frac{1}{2}i^2m_{21}m_{22}}t_0^jt^{i\mathbf{m}_2})$  for  $j \in \mathbf{Z}_2$ ,  $i \in \mathbf{Z}^*$  and  $f_{0,0} = \psi(\beta)$ ,  $f_{1,0} = \psi(2t_0^1t^0)$ . By Lemma 3.1 there exist complex numbers  $a_0, a_1, \dots, a_n$  with  $a_0a_n \neq 0$  such that

$$\sum_{i=0}^{n} a_i q^{-\frac{1}{2}i^2 m_{21} m_{22}} f_{j,k+i} = 0, \quad \forall \ k \in \mathbf{Z}, j \in \mathbf{Z}_2.$$
(3.8)

Denote  $b_i = a_i q^{-\frac{1}{2}i^2 m_{21} m_{22}}$ . Then the above equation becomes

$$\sum_{i=0}^{n} b_i f_{j,k+i} = 0, \quad \forall \ k \in \mathbf{Z}, j \in \mathbf{Z}_2.$$
 (3.9)

Suppose  $\alpha_1, \dots, \alpha_r$  are all distinct roots of the equation  $\sum_{i=0}^n b_i x^i = 0$  with multiplicity  $s_1 + 1, \dots, s_r + 1$  respectively. By a well-known combinatorial formula, we see that there exist  $b_{10}^{(j)}, b_{11}^{(j)}, \dots, b_{1s_1}^{(j)}, \dots, b_{r0}^{(j)}, b_{r1}^{(j)}, \dots, b_{rs_r}^{(j)} \in \mathbf{C}$  for  $j \in \mathbf{Z}_2$  such that

$$f_{j,i} = (b_{10}^{(j)} + b_{11}^{(j)}i + \dots + b_{1s_1}^{(j)}i^{s_1})\alpha_1^i + \dots + (b_{r0}^{(j)} + b_{r1}^{(j)}i + \dots, b_{rs_r}^{(j)}i^{s_r})\alpha_r^i, \ \forall \ i \in \mathbf{Z}.$$

Therefore, for any  $i \in \mathbf{Z}^*$ ,  $j \in \mathbf{Z}_2$ ,

$$\psi(t_0^j t^{i\mathbf{m}_2}) = \frac{(b_{10}^{(j)} + b_{11}^{(j)} i + \dots + b_{1s_1}^{(j)} i^{s_1}) \alpha_1^i + \dots + (b_{r0}^{(j)} + b_{r1}^{(j)} i + \dots + b_{rs_r}^{(j)} i^{s_r}) \alpha_r^i}{(1 - (-1)^j q^{i\alpha}) q^{\frac{1}{2} i^2 m_{21} m_{22}}},$$

$$\psi(\beta) = f_{0,0} = b_{10}^{(0)} + b_{20}^{(0)} + \dots + b_{r0}^{(0)}, \quad \text{and}$$

$$\psi(t_0^{\bar{1}} t^{\mathbf{0}}) = f_{1,0} = \frac{1}{2} (b_{10}^{(1)} + b_{20}^{(1)} + \dots + b_{r0}^{(1)}).$$

Thus we obtain the expression as required. This direction follows.

"⇐=". Set

$$Q(x) = \prod_{i=1}^{r} (x - \alpha_i)^{s_i + 1} = \sum_{i=1}^{n} b_i x^i \in \mathbf{C}[x], \quad f_{j,i} = (1 - (-1)^j q^{i\alpha}) q^{\frac{1}{2}i^2 m_{21} m_{22}} \psi(t_0^j t^{i\mathbf{m}_2}),$$

for  $j \in \mathbf{Z}_2$ ,  $i \in \mathbf{Z}^*$ , and set

$$f_{0,0} = \psi(\beta), \ f_{1,0} = 2\psi(t_0^1 t^0).$$

Then one can verify that (3.9) holds. Let  $a_i = q^{\frac{1}{2}i^2m_{21}m_{22}}b_i$ . One deduces that (3.8) holds. Thus (3.5) holds for  $P(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{i\mathbf{m}_2}$ . Therefore this direction follows by using Lemma 3.1.  $\square$ 

**Lemma 3.3** If  $m_{21}$  is an odd integer, then  $M^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2) \in \mathcal{O}_{\mathbf{Z}}$  if and only if there exists a polynomial  $P(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{2i\mathbf{m}_2} \in \mathbf{C}[t^{\mathbf{m}_2}]$  with  $a_0 a_n \neq 0$  such that for any  $k \in \mathbf{Z}$  and  $v \in V_0$ ,

$$\left(t_0^{\bar{0}} t^{2k\mathbf{m}_2} P(t^{\mathbf{m}_2}) - q^{2k\alpha} t_0^{\bar{0}} t^{2k\mathbf{m}_2} P(q^{\alpha} t^{\mathbf{m}_2}) + a_{-k} q^{-4k^2 m_{21} m_{22}} \beta\right) \cdot v = 0, \tag{10}$$

$$t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}P(t^{\mathbf{m}_2})\cdot v = t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}P(q^{\alpha}t^{\mathbf{m}_2})\cdot v = 0,$$
(11)

$$t_0^{\bar{1}} t^{k \mathbf{m}_2} P(t^{\mathbf{m}_2}) \cdot v = t_0^{\bar{1}} t^{k \mathbf{m}_2} P(q^{\alpha} t^{\mathbf{m}_2}) \cdot v = 0, \tag{12}$$

where  $a_k = 0$  if  $k \notin \{0, 1, \dots, n\}$ , and  $\alpha = m_{11}m_{22} - m_{12}m_{21}$ ,  $\beta = m_{11}c_1 + m_{12}c_2$ .

**Proof** " $\Longrightarrow$ ". Since  $V_0$  is a finite dimensional irreducible  $L_0$ -module, we have  $V_0 \cong V(\underline{\mu}, \psi)$  as  $L_0$ -modules by Theorem 2.5. Since  $\mathcal{H} = \langle t_0^{\overline{1}} t^{2k\mathbf{m}_2} \mid k \in \mathbf{Z} \rangle$  is an Abelian Lie subalgebra of  $L_0$ , we can choose a common eigenvector  $v_0 \in V_0$  of  $\mathcal{H}$ . First we prove the following claim.

Claim 1 There is a polynomial  $P_e(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{2i\mathbf{m}_2}$  with  $a_n a_0 \neq 0$  such that

$$\left(t_0^{\bar{0}}t^{2k\mathbf{m}_2}Q(t^{\mathbf{m}_2}) - q^{2k\alpha}t_0^{\bar{0}}t^{2k\mathbf{m}_2}Q(q^{\alpha}t^{\mathbf{m}_2}) + a_Q\beta\right) \cdot v_0 = 0, 
\left(t_0^{\bar{1}}t^{2k\mathbf{m}_2}Q(t^{\mathbf{m}_2}) - (-1)^{m_{11}}q^{2k\alpha}t_0^{\bar{1}}t^{2k\mathbf{m}_2}Q(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0, 
\left(t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}Q(t^{\mathbf{m}_2}) - q^{(2k+1)\alpha}t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}Q(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0, 
\left(t_0^{\bar{1}}t^{(2k+1)\mathbf{m}_2}Q(t^{\mathbf{m}_2}) - (-1)^{m_{11}}q^{(2k+1)\alpha}t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}Q(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0, \tag{13}$$

for all  $k \in \mathbf{Z}$  and  $Q(t^{\mathbf{m}_2}) \in \mathbf{C}[t^{\pm 2\mathbf{m}_2}]$  with  $P_e(t^{\mathbf{m}_2}) \mid Q(t^{\mathbf{m}_2})$ , where  $a_Q$  is the constant term of  $t^{2k\mathbf{m}_2}Q(t^{\mathbf{m}_2})$ .

To prove the claim, since  $\dim V_{-1} < \infty$ , there exist an integer s and a polynomial  $P_e(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{2i\mathbf{m}_2} \in \mathbf{C}[t^{\mathbf{m}_2}]$  with  $a_0 a_n \neq 0$  such that

$$t_0^{\bar{0}} t^{-\mathbf{m}_1 + 2s\mathbf{m}_2} P_e(t^{\mathbf{m}_2}) \cdot v_0 = 0.$$
(3.14)

Applying  $t_0^{\bar{0}}t^{\mathbf{m}_1+2k\mathbf{m}_2}$  for any  $k \in \mathbf{Z}$  to the above equation, we have

$$0 = t_0^{\bar{0}} t^{\mathbf{m}_1 + 2k\mathbf{m}_2} \cdot t_0^{\bar{0}} t^{-\mathbf{m}_1 + 2s\mathbf{m}_2} P_e(t^{\mathbf{m}_2}) \cdot v_0$$

$$= \sum_{i=0}^{n} a_i [t_0^{\bar{0}} t^{\mathbf{m}_1 + 2k\mathbf{m}_2}, q^{2im_{21}(-m_{12} + 2sm_{22})} t_0^{\bar{0}} t^{-\mathbf{m}_1 + 2(s+i)\mathbf{m}_2}] \cdot v_0$$

$$= q^{-m_{11}m_{12} - 2km_{22}m_{11} + 2sm_{12}m_{21} + 4ksm_{21}m_{22}} \times$$

$$\times \left( t_0^{\bar{0}} t^{2(k+s)\mathbf{m}_2} P_e(t^{\mathbf{m}_2}) - q^{2(s+k)\alpha} t_0^{\bar{0}} t^{2(k+s)\mathbf{m}_2} P_e(q^{\alpha} t^{\mathbf{m}_2}) + a_{-k-s} q^{-4(k+s)^2 m_{21}m_{22}} \beta \right) \cdot v_0. \tag{15}$$

Now applying  $t_0^{\bar{1}}t^{\mathbf{m}_1+2k\mathbf{m}_2}$  for any  $k \in \mathbf{Z}$  to (3.14), we have

$$0 = t_0^{\bar{1}} t^{\mathbf{m}_1 + 2k\mathbf{m}_2} \cdot t_0^{\bar{0}} t^{-\mathbf{m}_1 + 2s\mathbf{m}_2} P_e(t^{\mathbf{m}_2}) \cdot v_0$$

$$= \sum_{i=0}^{n} a_i [t_0^{\bar{1}} t^{\mathbf{m}_1 + 2k\mathbf{m}_2}, q^{2im_{21}(-m_{12} + 2sm_{22})} t_0^{\bar{0}} t^{-\mathbf{m}_1 + 2(s+i)\mathbf{m}_2}] \cdot v_0$$

$$= q^{-m_{11}m_{12} - 2km_{22}m_{11} + 2sm_{12}m_{21} + 4ksm_{21}m_{22}} \times \times \left( t_0^{\bar{1}} t^{2(k+s)\mathbf{m}_2} P_e(t^{\mathbf{m}_2}) - (-1)^{m_{11}} q^{2(s+k)\alpha} t_0^{\bar{1}} t^{2(k+s)\mathbf{m}_2} P_e(q^{\alpha} t^{\mathbf{m}_2}) \right) \cdot v_0. \tag{16}$$

By applying  $t_0^{\bar{0}}t^{\mathbf{m}_1+(2k+1)\mathbf{m}_2}$  and  $t_0^{\bar{1}}t^{\mathbf{m}_1+(2k+1)\mathbf{m}_2}$  to (3.14) respectively, one gets that

$$0 = t_0^{\bar{0}} t^{\mathbf{m}_1 + (2k+1)\mathbf{m}_2} \cdot t_0^{\bar{0}} t^{-\mathbf{m}_1 + 2s\mathbf{m}_2} P_e(t^{\mathbf{m}_2}) \cdot v_0$$

$$= q^{-m_{11}m_{12} - (2k+1)m_{11}m_{22} + 2sm_{12}m_{21} + 2s(2k+1)m_{21}m_{22}} \times \times \left( t_0^{\bar{0}} t^{(2k+2s+1)\mathbf{m}_2} P_e(t^{\mathbf{m}_2}) - q^{(2k+2s+1)\alpha} t_0^{\bar{0}} t^{(2k+2s+1)\mathbf{m}_2} P_e(q^{\alpha} t^{\mathbf{m}_2}) \right) \cdot v_0, \tag{17}$$

$$0 = t_0^{\bar{1}} t^{\mathbf{m}_1 + (2k+1)\mathbf{m}_2} \cdot (t_0^{\bar{0}} t^{-\mathbf{m}_1 + 2s\mathbf{m}_2} P_e(t^{\mathbf{m}_2})) \cdot v_0$$

$$= q^{-m_{11}m_{12} - (2k+1)m_{11}m_{22} + 2sm_{12}m_{21} + 2s(2k+1)m_{21}m_{22}} \times \times \left( t_0^{\bar{1}} t^{(2k+2s+1)\mathbf{m}_2} P_e(t^{\mathbf{m}_2}) - (-1)^{m_{11}} q^{(2k+2s+1)\alpha} t_0^{\bar{0}} t^{(2k+2s+1)\mathbf{m}_2} P_e(q^{\alpha} t^{\mathbf{m}_2}) \right) \cdot v_0.$$
(18)

So we have

$$\begin{split} &\left(t_0^{\bar{0}}t^{2k\mathbf{m}_2}P_e(t^{\mathbf{m}_2}) - q^{2k\alpha}t_0^{\bar{0}}t^{2k\mathbf{m}_2}P_e(q^{\alpha}t^{\mathbf{m}_2}) + a_{-k}q^{-4k^2m_{21}m_{22}}\beta\right) \cdot v_0 = 0, \\ &\left(t_0^{\bar{1}}t^{2k\mathbf{m}_2}P_e(t^{\mathbf{m}_2}) - (-1)^{m_{11}}q^{2k\alpha}t_0^{\bar{1}}t^{2k\mathbf{m}_2}P_e(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0, \\ &\left(t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}P_e(t^{\mathbf{m}_2}) - q^{(2k+1)\alpha}t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}P_e(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0, \\ &\left(t_0^{\bar{1}}t^{(2k+1)\mathbf{m}_2}P_e(t^{\mathbf{m}_2}) - (-1)^{m_{11}}q^{(2k+1)\alpha}t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}P_e(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0, \end{split}$$

for all  $k \in \mathbf{Z}$ , which deduces the claim as required.

On the other hand, we can choose an integer s and a polynomial  $P_o(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{2i\mathbf{m}_2} \in \mathbf{C}[t^{\mathbf{m}_2}]$  with  $a_0 a_n \neq 0$  such that

$$t_0^{\bar{0}} t^{-\mathbf{m}_1 + (2s+1)\mathbf{m}_2} P_o(t^{\mathbf{m}_2}) \cdot v_0 = 0,$$

since  $\dim V_{-1} < \infty$ . Thus by a calculation similar to the proof of Claim 1, we can deduce the following claim.

Claim 2 There is a polynomial  $P_o(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{2i\mathbf{m}_2}$  with  $a_n a_0 \neq 0$  such that

$$\left(t_0^{\bar{0}}t^{2k\mathbf{m}_2}Q(t^{\mathbf{m}_2}) - q^{2k\alpha}t_0^{\bar{0}}t^{2k\mathbf{m}_2}Q(q^{\alpha}t^{\mathbf{m}_2}) + a_Q\beta\right) \cdot v_0 = 0,$$

$$\left(t_0^{\bar{1}}t^{2k\mathbf{m}_2}Q(t^{\mathbf{m}_2}) - (-1)^{m_{11}+1}q^{2k\alpha}t_0^{\bar{1}}t^{2k\mathbf{m}_2}Q(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0,$$

$$\left(t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}Q(t^{\mathbf{m}_2}) - q^{(2k+1)\alpha}t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}Q(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0,$$

$$\left(t_0^{\bar{1}}t^{(2k+1)\mathbf{m}_2}Q(t^{\mathbf{m}_2}) - (-1)^{m_{11}+1}q^{(2k+1)\alpha}t_0^{\bar{1}}t^{(2k+1)\mathbf{m}_2}Q(q^{\alpha}t^{\mathbf{m}_2})\right) \cdot v_0 = 0,$$
(19)

for all  $k \in \mathbf{Z}$  and  $Q(t^{\mathbf{m}_2}) \in \mathbf{C}[t^{\pm 2\mathbf{m}_2}]$  with  $P_o(t^{\mathbf{m}_2}) \mid Q(t^{\mathbf{m}_2})$ , where  $a_Q$  is the constant term of  $t^{2k\mathbf{m}_2}Q(t^{\mathbf{m}_2})$ .

Let  $P(t^{\mathbf{m}_2}) = \sum_{i=0}^n a_i t^{2i\mathbf{m}_2}$  be the product of  $P_o(t^{\mathbf{m}_2})$  and  $P_e(t^{\mathbf{m}_2})$ . We see that both (3.13) and (3.19) hold for  $P(t^{\mathbf{m}_2})$ . Thus one can directly deduce that both (3.10) and (3.12) hold for  $P(t^{\mathbf{m}_2})$  and  $v_0 \in V_0$ . Since  $v_0$  is a eigenvector of  $t_0^{\bar{1}}$ , we have

$$0 = t_0^{\bar{1}} \cdot t_0^{\bar{1}} t^{(2k+1)\mathbf{m}_2} P(t^{\mathbf{m}_2}) \cdot v_0 = [t_0^{\bar{1}}, t_0^{\bar{1}} t^{(2k+1)\mathbf{m}_2} P(t^{\mathbf{m}_2})] \cdot v_0 = 2t_0^{\bar{0}} t^{(2k+1)\mathbf{m}_2} P(t^{\mathbf{m}_2}) \cdot v_0,$$

and

$$0 = t_0^{\bar{1}} \cdot t_0^{\bar{1}} t^{(2k+1)\mathbf{m}_2} P(q^{\alpha} t^{\mathbf{m}_2}) \cdot v_0 = [t_0^{\bar{1}}, t_0^{\bar{1}} t^{(2k+1)\mathbf{m}_2} P(q^{\alpha} t^{\mathbf{m}_2})] \cdot v_0 = 2t_0^{\bar{0}} t^{(2k+1)\mathbf{m}_2} P(q^{\alpha} t^{\mathbf{m}_2}) \cdot v_0,$$
 which deduces (3.11) for  $P(t^{\mathbf{m}_2})$  and  $v_0$ .

From the definition of Lie subalgebra  $L_0$ , one can easily deduces that if (3.10)–(3.12) hold for any  $v \in V$ , then they also hold for  $t_0^s t^{k\mathbf{m}_2} \cdot v$ ,  $\forall s \in \mathbf{Z}/2\mathbf{Z}$ ,  $k \in \mathbf{Z}$ . This completes the proof of this direction since  $V_0$  is an irreducible  $L_0$ -module.

" $\leftarrow$ ". We first show the following claim by induction on s.

Claim 3. For any  $s \in \mathbf{Z}_+$ , there exists a polynomial  $P_s(t^{\mathbf{m}_2}) = \sum_{j \in \mathbf{Z}} a_{s,j} t^{2j\mathbf{m}_2} \in \mathbf{C}[t^{2\mathbf{m}_2}]$  such that

$$\begin{split} \left(t_0^{\bar{0}}t^{2k\mathbf{m}_2}P_s(t^{\mathbf{m}_2}) - q^{2k\alpha}t_0^{\bar{0}}t^{2k\mathbf{m}_2}P_s(q^{\alpha}t^{\mathbf{m}_2}) + a_{s,-k}q^{-4k^2m_{21}m_{22}}\beta\right) \cdot V_{-s} &= 0, \\ t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}P_s(t^{\mathbf{m}_2}) \cdot V_{-s} &= t_0^{\bar{1}}t^{k\mathbf{m}_2}P_s(t^{\mathbf{m}_2}) \cdot V_{-s} &= 0, \\ t_0^rt^{-\mathbf{m}_1 + k\mathbf{m}_2}P_s(t^{\mathbf{m}_2}) \cdot V_{-s} &= 0, \quad \forall \ r \in \mathbf{Z}_2, k \in \mathbf{Z}. \end{split}$$

By the assumption and the definition of  $L_0$ -module  $V_0$ , one can deduce that the claim holds for s = 0 with  $P_0(t^{\mathbf{m}_2}) = P(t^{\mathbf{m}_2})$ . Suppose it holds for s. Let us consider the claim for s + 1.

The equations in the claim are equivalent to

$$\left(t_0^{\bar{0}}Q(t^{\mathbf{m}_2}) - t_0^{\bar{0}}Q(q^{\alpha}t^{\mathbf{m}_2}) + a_Q\beta\right) \cdot V_{-s} = 0,$$

$$t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}Q(t^{\mathbf{m}_2}) \cdot V_{-s} = t_0^{\bar{1}}t^{k\mathbf{m}_2}Q(t^{\mathbf{m}_2}) \cdot V_{-s} = 0,$$

$$t_0^r t^{-\mathbf{m}_1 + k\mathbf{m}_2}Q(t^{\mathbf{m}_2}) \cdot V_{-s} = 0, \quad \forall \ r \in \mathbf{Z}_2, k \in \mathbf{Z},$$
(20)

for any  $Q(t^{\mathbf{m}_2}) \in \mathbf{C}[t^{\pm 2\mathbf{m}_2}]$  with  $P_s(t^{\mathbf{m}_2}) \mid Q(t^{\mathbf{m}_2})$ , where  $a_Q$  is the constant term of  $Q(t^{\mathbf{m}_2})$ .

Let  $P_{s+1}(t^{\mathbf{m}_2}) = P_s(q^{\alpha}t^{\mathbf{m}_2})P_s(t^{\mathbf{m}_2})P_s(q^{-\alpha}t^{\mathbf{m}_2})$ . For any  $p, r \in \mathbf{Z}_2$ ,  $j, k \in \mathbf{Z}$ , using induction and by (3.20) we have

$$\begin{split} & \left(t_0^{\overline{0}}t^{2k\mathbf{m}_2}P_{s+1}(t^{\mathbf{m}_2}) - q^{2k\alpha}t_0^{\overline{0}}t^{2k\mathbf{m}_2}P_{s+1}(q^{\alpha}t^{\mathbf{m}_2}) + a_{s+1,-k}q^{-4k^2m_{21}m_{22}}\beta\right) \cdot t_0^p t^{-\mathbf{m}_1 + j\mathbf{m}_2} \cdot V_{-s} \\ & = \left[t_0^{\overline{0}}t^{2k\mathbf{m}_2}P_{s+1}(t^{\mathbf{m}_2}) - q^{2k\alpha}t_0^{\overline{0}}t^{2k\mathbf{m}_2}P_{s+1}(q^{\alpha}t^{\mathbf{m}_2}) + a_{s+1,-k}q^{-k^2m_{21}m_{22}}\beta, t_0^p t^{-\mathbf{m}_1 + j\mathbf{m}_2}\right] \cdot V_{-s} \\ & = q^{2km_{22}(-m_{11} + jm_{21})}\left(t_0^p t^{-\mathbf{m}_1 + (2k + j)\mathbf{m}_2}\left(P_{s+1}(q^{-\alpha}t^{\mathbf{m}_2}) - 2q^{2k\alpha}P_{s+1}(t^{\mathbf{m}_2}) + q^{4k\alpha}P_{s+1}(q^{\alpha}t^{\mathbf{m}_2})\right)\right) \cdot V_{-s} \\ & = 0, \end{split}$$

Thus, by (3.1), we obtain that

$$\left(t_0^{\bar{0}}t^{2k\mathbf{m}_2}P_{s+1}(t^{\mathbf{m}_2}) - q^{2k\alpha}t_0^{\bar{0}}t^{2k\mathbf{m}_2}P_{s+1}(q^{\alpha}t^{\mathbf{m}_2}) + a_{s+1,-k}q^{-4k^2m_{21}m_{22}}\beta\right) \cdot V_{-s-1} = 0.$$
 (3.21)

Similarly, one can prove that

$$t_0^{\bar{0}}t^{(2k+1)\mathbf{m}_2}P_{s+1}(t^{\mathbf{m}_2})\cdot V_{-s-1} = t_0^{\bar{1}}t^{k\mathbf{m}_2}P_{s+1}(t^{\mathbf{m}_2})\cdot V_{-s-1} = 0, \ \forall \ k \in \mathbf{Z}. \tag{3.22}$$

This proves the first two equations in the claim for s + 1.

Using (3.21), (3.22) and induction, we deduce that for any  $l, k \in \mathbf{Z}$ ,  $n, r \in \mathbf{Z}_2$ ,

$$\begin{split} &t_0^n t^{\mathbf{m}_1 + l \mathbf{m}_2} \cdot t_0^r t^{-\mathbf{m}_1 + k \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) \cdot V_{-s-1} \\ &= [t_0^n t^{\mathbf{m}_1 + l \mathbf{m}_2}, t_0^r t^{-\mathbf{m}_1 + k \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2})] \cdot V_{-s-1} + t_0^r t^{-\mathbf{m}_1 + k \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) \cdot t_0^n t^{\mathbf{m}_1 + l \mathbf{m}_2} \cdot V_{-s-1} \\ &= (-1)^{r(m_{11} + l m_{21})} q^{-m_{11} m_{12} + k m_{12} m_{21} - l m_{11} m_{22} + l k m_{21} m_{22}} \left( t_0^{n+r} t^{(l+k) \mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) \right. \\ &\quad - (-1)^{(n+r) m_{11} + n k + r l} q^{(k+l) \alpha} t_0^{n+r} t^{(l+k) \mathbf{m}_2} P_{s+1}(q^{\alpha} t^{\mathbf{m}_2}) \\ &\quad + a_{s+1,i} \delta_{k+l+2i,0} \delta_{r+n,\bar{0}} q^{-(l+k)^2 m_{21} m_{22}} \beta \right) \cdot V_{-s-1} \\ &= 0. \end{split}$$

Hence, by (3.2),

$$t_0^r t^{-\mathbf{m}_1 + k\mathbf{m}_2} P_{s+1}(t^{\mathbf{m}_2}) \cdot V_{-s-1} = 0,$$

for all  $r \in \mathbf{Z}_2$ ,  $k \in \mathbf{Z}$ , which implies the third equation in the claim for s + 1. Therefore the claim follows by induction.

From the third equation of the claim and (3.1), we see that

$$\dim V_{-s-1} \le 2\deg(P_{s+1}(t^{\mathbf{m}_2})) \cdot \dim V_s, \ \forall \ s \in \mathbf{Z}_+,$$

where  $\deg(P_{s+1}(t^{\mathbf{m}_2}))$  denotes the degree of polynomial  $P_{s+1}(t^{\mathbf{m}_2})$ . Hence  $M^+(V(\underline{\mu}, \psi), \mathbf{m}_1, \mathbf{m}_2) \in \mathcal{O}_{\mathbf{Z}}$ .

**Theorem 3.4** Let  $m_{21}$  be an odd integer. Then  $M^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2) \in \mathcal{O}_{\mathbf{Z}}$  if and only if there exist  $b_{10}, b_{11}, \dots, b_{1s_1}, b_{20}, b_{21}, \dots, b_{2s_2}, \dots, b_{r0}, b_{r1}, \dots, b_{rs_r} \in \mathbf{C}$  and  $\alpha_1, \dots, \alpha_r \in \mathbf{C}^*$  such that for any  $i \in \mathbf{Z}^*$ ,  $j \in \mathbf{Z}_2$ ,

$$\psi(t_0 t^{2i\mathbf{m}_2}) = \frac{(b_{10} + b_{11}i + \dots + b_{1s_1}i^{s_1})\alpha_1^i + \dots + (b_{r0} + b_{r1}i + \dots, b_{rs_r}i^{s_r})\alpha_r^i}{(1 - q^{2i\alpha})q^{2i^2m_{21}m_{22}}},$$
  
$$\psi(\beta) = b_{10} + b_{20} + \dots + b_{r0}, \quad and \quad \psi(m_{21}c_1 + m_{22}c_2) = 0,$$

where  $\alpha = m_{11}m_{22} - m_{21}m_{12} \in \{\pm 1\}.$ 

**Proof** " $\Longrightarrow$ ". Let  $f_i = \psi((1 - q^{2i\alpha})q^{2i^2m_{21}m_{22}}t_0^{\bar{0}}t^{2i\mathbf{m}_2})$  for  $i \in \mathbf{Z}^*$  and  $f_0 = \psi(\beta)$ . By Lemma 3.3, there exist complex numbers  $a_0, a_1, \dots, a_n$  with  $a_0a_n \neq 0$  such that

$$\sum_{i=0}^{n} a_i q^{-2i^2 m_{21} m_{22}} f_{k+i} = 0, \ \forall \ k \in \mathbf{Z}.$$

Thus, by using a technique in the proof of Theorem 3.2, we can deduce the result as required.

"
$$\longleftarrow$$
". Set

$$Q(x) = \left(\prod_{i=1}^{r} (x - \alpha_i)^{s_i + 1}\right) \left(\prod_{j=1}^{\nu} (x - a_j)\right) \left(\prod_{j=1}^{\nu} (x - q^{2\alpha} a_j)\right) =: \sum_{i=1}^{n} b_i x^i,$$

and

$$f_i = \psi \Big( (1 - q^{2i\alpha}) q^{2i^2 m_{21} m_{22}} t_0^{\bar{0}} t^{2i \mathbf{m}_2} \Big), \ \forall \ i \in \mathbf{Z}^*, \quad f_0 = \psi(\beta).$$

Then one can easily verify that

$$\sum_{i=0}^{n} b_i f_{k+i} = 0, \quad \forall \ k \in \mathbf{Z}. \tag{3.23}$$

Meanwhile, we have  $(\prod_{j=1}^{\nu}(x-a_j)) \mid x^kQ(x)$  and  $(\prod_{j=1}^{\nu}(x-a_j)) \mid x^kQ(q^{2\alpha}x)$  for any  $k \in \mathbf{Z}$ , which deduces

$$\sum_{i=1}^{n} b_i q^{\frac{1}{2}(2i+2k+1)^2 m_{22} m_{21}} t_0^s t^{(2i+2k+1)\mathbf{m}_2} \cdot V_0 = 0, \tag{24}$$

$$\sum_{i=1}^{n} b_i q^{2i\alpha} q^{\frac{1}{2}(2i+2k+1)^2 m_{22} m_{21}} t_0^s t^{(2i+2k+1)\mathbf{m}_2} \cdot V_0 = 0, \quad \forall \ s \in \mathbf{Z}_2,$$
 (25)

and

$$\sum_{i=1}^{n} b_i q^{2(i+k)^2 m_{22} m_{21}} t_0^{\bar{1}} t^{2(i+k) \mathbf{m}_2} \cdot V_0 = 0, \tag{26}$$

$$\sum_{i=1}^{n} b_i q^{2i\alpha} q^{2(i+k)^2 m_{22} m_{21}} t_0^{\bar{1}} t^{2(i+k) \mathbf{m}_2} \cdot V_0 = 0, \tag{27}$$

by Remark 2.6. Let  $b_i' = q^{2i^2 m_{21} m_{22}} b_i$  for  $0 \le i \le n$  and  $P(x) = \sum_{i=1}^n b_i' x^i$ . By (3.23) and the

construction of  $V(\mu, \psi)$ , we have

$$\begin{split} &\left(t_0^{\bar{0}}t^{2k\mathbf{m}_2}P(t^{2\mathbf{m}_2}) - q^{2k\alpha}t_0^{\bar{0}}t^{2k\mathbf{m}_2}P(q^{2\alpha}t^{2\mathbf{m}_2}) + b'_{-k}q^{-4k^2m_{21}m_{22}}\beta\right) \cdot V_0 \\ &= q^{-2k^2m_{21}m_{22}}\psi\bigg(\sum_{i=1}^n b_i(1-q^{2(k+i)\alpha})q^{2(k+i)^2m_{22}m_{21}}t_0^{\bar{0}}t^{2(k+i)\mathbf{m}_2} + b_{-k}\beta\bigg) \cdot V_0 \\ &= q^{-2k^2m_{21}m_{22}}\sum_{i=1}^n b_if_{k+i} \cdot V_0 \\ &= 0, \end{split}$$

which deduces (3.10). Similarly, we have, for any  $k \in \mathbf{Z}$ ,

$$\begin{split} t_0^s t^{(2k+1)\mathbf{m}_2} P(t^{2\mathbf{m}_2}) \cdot V_0 &= \sum_{i=1}^n b_i q^{(2i^2+4ki+2i)m_{21}m_{22}} t_0^s t^{(2k+2i+1)\mathbf{m}_2} \cdot V_0 \\ &= q^{-2k^2-2k-\frac{1}{2}} \sum_{i=1}^n b_i q^{\frac{1}{2}(2k+2i+1)^2 m_{21}m_{22}} t_0^s t^{(2k+2i+1)\mathbf{m}_2} \cdot V_0 \\ &= 0, \end{split}$$

and

$$\begin{split} t_0^s t^{(2k+1)\mathbf{m}_2} P(q^{2\alpha} t^{2\mathbf{m}_2}) \cdot V_0 &= \sum_{i=1}^n b_i q^{2i\alpha + (2i^2 + 4ki + 2i)m_{21}m_{22}} t_0^s t^{(2k+2i+1)\mathbf{m}_2} \cdot V_0 \\ &= q^{-2k^2 - 2k - \frac{1}{2}} \sum_{i=1}^n b_i q^{2i\alpha} q^{\frac{1}{2}(2k+2i+1)^2 m_{21}m_{22}} t_0^s t^{(2k+2i+1)\mathbf{m}_2} \cdot V_0 \\ &= 0, \end{split}$$

by (3.24) and (3.25) respectively. Now one can easily deduce the following equation

$$t_0^{\bar{1}} t^{2k\mathbf{m}_2} P(t^{2\mathbf{m}_2}) \cdot V_0 = 0$$
, and  $t_0^{\bar{1}} t^{2k\mathbf{m}_2} P(q^{2\alpha} t^{2\mathbf{m}_2}) \cdot V_0 = 0$ ,

by using (3.26) and (3.27) respectively. Therefore (3.10)–(3.12) hold for  $P(t^{2\mathbf{m}_2}) = \sum_{i=1}^n b_i' t^{2i\mathbf{m}_2}$ . Thus  $M^+(\mu, \psi, \mathbf{m}_1, \mathbf{m}_2) \in \mathcal{O}_{\mathbf{Z}}$  by Lemma 3.3.

**Remark 3.5** A linear function  $\psi$  over  $L_0$  having the form as described in Theorem 3.2 is called an exp-polynomial function over  $L_0$ . Similarly, a linear function  $\psi$  over  $\mathcal{A}$  having the form as described in Theorem 3.4 is called an exp-polynomial function over  $\mathcal{A}$ .

# §4 Classification of the generalized highest weight irreducible Z-graded L-modules

**Lemma 4.1** If V is a nontrivial irreducible generalized highest weight **Z**-graded L-module corresponding to a **Z**-basis  $B = \{\mathbf{b}_1, \mathbf{b}_2\}$  of  $\mathbf{Z}^2$ , then

- (1) For any  $v \in V$  there is some  $p \in \mathbb{N}$  such that  $t_0^i t^{m_1 \mathbf{b}_1 + m_2 \mathbf{b}_2} \cdot v = 0$  for all  $m_1, m_2 \geq p$  and  $i \in \mathbb{Z}_2$ .
- (2) For any  $0 \neq v \in V$  and  $m_1, m_2 > 0$ ,  $i \in \mathbf{Z}_2$ , we have  $t_0^i t^{-m_1 \mathbf{b}_1 m_2 \mathbf{b}_2} \cdot v \neq 0$ .

**Proof** Assume that  $v_0$  is a generalized highest weight vector corresponding to the **Z**-basis  $B = \{\mathbf{b}_1, \mathbf{b}_2\}$  of  $\mathbf{Z}^2$ .

(1) By the irreducibility of V and the PBW theorem, there exists  $u \in U(L)$  such that  $v = u \cdot v_0$ , where u is a linear combination of elements of the form

$$u_n = (t_0^{k_1} t^{i_1 \mathbf{b}_1 + j_1 \mathbf{b}_2}) \cdot (t_0^{k_2} t^{i_2 \mathbf{b}_1 + j_1 2 \mathbf{b}_2}) \cdots (t_0^{k_n} t^{i_n \mathbf{b}_1 + j_n \mathbf{b}_2}),$$

where, " $\cdot$ " denotes the product in U(L). Thus, we may assume  $u = u_n$ . Take

$$p_1 = -\sum_{i_s < 0} i_s + 1, \quad p_2 = -\sum_{j_s < 0} j_s + 1.$$

By induction on n, one gets that  $t_0^k t^{i\mathbf{b}_1+j\mathbf{b}_2} \cdot v = 0$  for any  $k \in \mathbf{Z}_2, i \geq p_1$  and  $j \geq p_2$ , which gives the result with  $p = \max\{p_1, p_2\}$ .

(2) Suppose there are  $0 \neq v \in V$  and  $i \in \mathbb{Z}_2, m_1, m_2 > 0$  with

$$t_0^i t^{-m_1 \mathbf{b}_1 - m_2 \mathbf{b}_2} \cdot v = 0.$$

Let p be as in the proof of (1). Then

$$t_0^i t^{-m_1 \mathbf{b}_1 - m_2 \mathbf{b}_2}, \ t_0^j t^{\mathbf{b}_1 + p(m_1 \mathbf{b}_1 + m_2 \mathbf{b}_2)}, \ t_0^j t^{\mathbf{b}_2 + p(m_1 \mathbf{b}_1 + m_2 \mathbf{b}_2)}, \ \forall j \in \mathbf{Z}_2,$$

act trivially on v. Since the above elements generate the Lie algebra L. So V is a trivial module, a contradiction.

**Lemma 4.2** If  $V \in \mathcal{O}_{\mathbf{Z}}$  is a generalized highest weight L-module corresponding to the  $\mathbf{Z}$ -basis  $B = \{\mathbf{b}_1, \mathbf{b}_2\}$  of  $\mathbf{Z}^2$ , then V must be a highest or lowest weight module.

**Proof** Suppose V is a generalized highest weight module corresponding to the **Z**-basis  $\{\mathbf{b}_1 = b_{11}\mathbf{m}_1 + b_{12}\mathbf{m}_2, \mathbf{b}_2 = b_{21}\mathbf{m}_1 + b_{22}\mathbf{m}_2\}$  of  $\mathbf{Z}^2$ . By shifting index of  $V_i$  if necessary, we can suppose the highest degree of V is 0. Let  $a = b_{11} + b_{21}$  and

$$\wp(V) = \{ m \in \mathbf{Z} \mid V_m \neq 0 \}.$$

We may assume  $a \neq 0$ : In fact, if a = 0 we can choose  $\mathbf{b}'_1 = 3\mathbf{b}_1 + \mathbf{b}_2$ ,  $\mathbf{b}'_2 = 2\mathbf{b}_1 + \mathbf{b}_2$ , then V is a generalized highest weight  $\mathbf{Z}$ -graded module corresponding to the  $\mathbf{Z}$ -basis  $\{\mathbf{b}'_1, \mathbf{b}'_2\}$  of  $\mathbf{Z}^2$ . Replacing  $\mathbf{b}_1, \mathbf{b}_2$  by  $\mathbf{b}'_1, \mathbf{b}'_2$  gives  $a \neq 0$ .

Now we prove that if a > 0 then V is a highest weight module. Let

$$\mathcal{A}_i = \{ j \in \mathbf{Z} \mid i + aj \in \wp(V) \}, \ \forall \ 0 \le i < a.$$

Then there is  $m_i \in \mathbf{Z}$  such that  $\mathcal{A}_i = \{j \in \mathbf{Z} \mid j \leq m_i\}$  or  $\mathcal{A}_i = \mathbf{Z}$  by Lemma 4.1(2).

Set  $\mathbf{b} = \mathbf{b}_1 + \mathbf{b}_2$ . We want to prove  $\mathcal{A}_i \neq \mathbf{Z}$  for all  $0 \leq i < a$ . Otherwise, (by shifting the index of  $\mathcal{A}_i$  if necessary) we may assume  $\mathcal{A}_0 = \mathbf{Z}$ . Thus we can choose  $0 \neq v_j \in V_{aj}$  for any  $j \in \mathbf{Z}$ . By Lemma 4.1(1), we know that there is  $p_{v_j} > 0$  with

$$t_0^k t^{s_1 \mathbf{b}_1 + s_2 \mathbf{b}_2} \cdot v_j = 0, \ \forall \ s_1, s_2 > p_{v_j}, \ k \in \mathbf{Z}/2\mathbf{Z}.$$
 (4.1)

Choose  $\{k_j \in \mathbf{N} \mid j \in \mathbf{N}\}\$ and  $v_{k_j} \in V_{ak_j}$  such that

$$k_{j+1} > k_j + p_{v_{k_j}} + 2. (4.2)$$

We prove that  $\{t_0^{\bar{0}}t^{-k_j\mathbf{b}}\cdot v_{k_j}\mid j\in\mathbf{N}\}\subset V_0$  is a set of linearly independent vectors, from which we can get a contradiction and thus deduces the result as we hope.

Indeed, for any  $r \in \mathbf{N}$ , there exists  $a_r \in \mathbf{N}$  such that  $t_0^0 t^{x\mathbf{b}+\mathbf{b}_1} v_{k_r} = 0$ ,  $\forall x \geq a_r$  by Lemma 4.1(1). On the other hand, we know that  $t_0^0 t^{x\mathbf{b}+\mathbf{b}_1} \cdot v_{k_r} \neq 0$  for any x < -1 by Lemma 4.1(2). Thus we can choose  $s_r \geq -2$  such that

$$t_0^{\bar{0}} t^{s_r \mathbf{b} + \mathbf{b}_1} \cdot v_{k_r} \neq 0, \qquad t_0^{\bar{0}} t^{x \mathbf{b} + \mathbf{b}_1} \cdot v_{k_r} = 0, \ \forall x > s_r.$$
 (4.3)

By (4.2) we have  $k_r + s_r - k_j > p_{v_j}$  for all  $1 \le j < r$ . Hence by (4.1) we know that for all  $1 \le j < r$ ,

$$\begin{split} & t_0^{\bar{0}} t^{(k_r+s_r)\mathbf{b}+\mathbf{b}_1} \cdot t_0^{\bar{0}} t^{-k_j\mathbf{b}} \cdot v_{k_j} \\ &= [t_0^{\bar{0}} t^{(k_r+s_r)\mathbf{b}+\mathbf{b}_1}, t_0^{\bar{0}} t^{-k_j\mathbf{b}}] \cdot v_{k_j} \\ &= q^{-k_j((k_r+s_r)(b'_{12}+b'_{22})+b'_{12})(b'_{11}+b'_{21})} (1 - q^{k_j(b'_{12}b'_{21}-b'_{11}b'_{22})}) t_0^{\bar{0}} t^{(k_r+s_r-k_j)\mathbf{b}+\mathbf{b}_1} \cdot v_{k_j} \\ &= 0, \end{split}$$

where

 $b'_{11} = b_{11}m_{11} + b_{12}m_{21}, \ b'_{12} = b_{11}m_{12} + b_{12}m_{22}, \ b'_{21} = b_{21}m_{11} + b_{22}m_{21}, \ b'_{22} = b_{21}m_{12} + b_{22}m_{22}.$ 

Now by (4.2) and (4.3), one gets

$$\begin{split} & t_0^{\bar{0}} t^{(k_r+s_r)\mathbf{b}+\mathbf{b}_1} \cdot t_0^{\bar{0}} t^{-k_r\mathbf{b}} \cdot v_{k_r} \\ &= [t_0^{\bar{0}} t^{(k_r+s_r)\mathbf{b}+\mathbf{b}_1}, t_0^{\bar{0}} t^{-k_r\mathbf{b}}] \cdot v_{k_r} \\ &= q^{-k_r((k_r+s_r)(b'_{12}+b'_{22})+b'_{12})(b'_{11}+b'_{21})} (1 - q^{k_r(b'_{12}b'_{21}-b'_{11}b'_{22})}) t_0^{\bar{0}} t^{s_r\mathbf{b}+\mathbf{b}_1} \cdot v_{k_r} \\ &\neq 0. \end{split}$$

Hence if  $\sum_{j=1}^{n} \lambda_j t_0^{\bar{0}} t^{-k_j \mathbf{b}} \cdot v_{k_j} = 0$  then  $\lambda_n = \lambda_{n-1} = \cdots = \lambda_1 = 0$  by the arbitrariness of r. So we see that  $\{t_0^{\bar{0}} t^{-k_j \mathbf{b}} \cdot v_{k_j} \mid j \in \mathbf{N}\} \subset V_0$  is a set of linearly independent vectors, which contradicts the fact that  $V \in \mathcal{O}_{\mathbf{Z}}$ . Therefore, for any  $0 \leq i < a$ , there is  $m_i \in \mathbf{Z}$  such that  $\mathcal{A}_i = \{j \in \mathbf{Z} \mid j \leq m_i\}$ , which deduces that V is a highest weight module since  $\wp(V) = \bigcup_{i=0}^{a-1} \mathcal{A}_i$ . Similarly, one can prove that if a < 0 then V is a lowest weight module.

From the above lemma and the results in Section 3, we have the following theorem.

**Theorem 4.3** V is a quasi-finite irreducible **Z**-graded L-module if and only if one of the following statements hold:

- (1) V is a uniformly bounded module;
- (2) If  $m_{21}$  is an even integer then there exists an exp-polynomial function  $\psi$  over  $L_0$  such that

$$V \cong M^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$$
 or  $V \cong M^-(\psi, \mathbf{m}_1, \mathbf{m}_2)$ ;

(3) If  $m_{21}$  is an odd integer then there exist an exp-polynomial function  $\psi$  over  $\mathcal{A}$ , a finite sequence of nonzero distinct numbers  $\underline{\mu} = (a_1, \dots, a_{\nu})$  and some finite dimensional irreducible  $sl_2$ -modules  $V_1, \dots, V_{\nu}$  such that

$$V \cong M^+(\mu, \psi, \mathbf{m}_1, \mathbf{m}_2)$$
 or  $V \cong M^-(\mu, \psi, \mathbf{m}_1, \mathbf{m}_2)$ .

**Theorem 4.4 (Main Theorem)** If V is a quasi-finite irreducible **Z**-graded L-module with nontrivial center then one of the following statements must hold:

(1) If  $m_{21}$  is an even integer then there exists an exp-polynomial function  $\psi$  over  $L_0$  such that

$$V \cong M^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$$
 or  $V \cong M^-(\psi, \mathbf{m}_1, \mathbf{m}_2)$ ;

(2) If  $m_{21}$  is an odd integer then there exist an exp-polynomial function  $\psi$  over  $\mathcal{A}$ , a finite sequence of nonzero distinct numbers  $\underline{\mu} = (a_1, \dots, a_{\nu})$  and some finite dimensional irreducible  $sl_2$  modules  $V_1, \dots, V_{\nu}$  such that

$$V \cong M^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$$
 or  $V \cong M^-(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$ .

**Proof** By Theorem 4.3, we only need to show that V is not a uniformly bounded module. From the definition of Lie algebra L, we see that  $\mathcal{H}_i = \langle t_0^{\bar{0}} t^{k} \mathbf{m}_i, m_{i1} c_1 + m_{i2} c_2 \mid k \in \mathbf{Z}^* \rangle$ , i = 1, 2 are Heisenberg Lie algebras. As V is a quasi-finite irreducible **Z**-graded L-module, we deduce that  $m_{21}c_1 + m_{22}c_2$  must be zero. Thus, by the assumption, we have that  $m_{11}c_1 + m_{12}c_2 \neq 0$  since  $\{\mathbf{m}_1, \mathbf{m}_2\}$  is a **Z**-basis of  $\mathbf{Z}^2$ . Therefore, V is not a uniformly bounded module by a well-known result about the representation of the Heisenberg Lie algebra.

We close this section by showing that nontrivial modules  $M^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$ ,  $M^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$  are not uniformly bounded and not integrable.

**Theorem 4.5** Nontrivial module  $M^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$  or  $M^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$  is not uniformly bounded.

**Proof** Set  $V \cong M^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$  or  $V \cong M^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$  and  $V = \bigoplus_{k \in \mathbf{Z}_+} V_{-k}$ . Since V is not trivial, there exist  $v_0 \in V_0$ ,  $k \in \mathbf{Z}^*$  and  $l \in \mathbf{Z}_2$  such that  $t_0^l t^{k \mathbf{m}_2} \cdot v_0 \neq 0$ . Thus

$$\begin{split} t_0^{\bar{0}}t^{\mathbf{m}_1} \cdot t_0^l t^{-\mathbf{m}_1 + k\mathbf{m}_2} \cdot v_0 &= [t_0^{\bar{0}}t^{\mathbf{m}_1}, t_0^l t^{-\mathbf{m}_1 + k\mathbf{m}_2}]v_0 \\ &= ((-1)^{lm_{11}}q^{m_{12}(-m_{11} + km_{21})} - q^{m_{11}(-m_{12} + km_{22})})t_0^l t^{k\mathbf{m}_2} \cdot v_0 \neq 0, \end{split}$$

which deduces that  $t_0^l t^{-\mathbf{m}_1 + k\mathbf{m}_2} \cdot v_0 \neq 0$ .

Next, we prove that if  $0 \neq v_{-m} \in V_{-m}$  then  $t_0^{\bar{0}}t^{-\mathbf{m}_1} \cdot v_{-m} \neq 0$ . Suppose  $t_0^{\bar{0}}t^{-\mathbf{m}_1} \cdot v_{-m} = 0$  for some  $0 \neq v_{-m} \in V_{-m}$ . From the construction of V, we know that  $t_0^l t^{(m+1)\mathbf{m}_1 \pm \mathbf{m}_2}$  also act trivially on  $v_{-m}$  for any  $l \in \mathbf{Z}_2$ . Since L is generated by  $t_0^{\bar{0}}t^{-\mathbf{m}_1}$ ,  $t_0^l t^{(m+1)\mathbf{m}_1 \pm \mathbf{m}_2}$ ,  $l = \bar{0}, \bar{1}$ , we see that V is a trivial module, a contradiction.

Set

$$\mathscr{A} = \{ (t_0^{\bar{0}} t^{-\mathbf{m}_1})^j \cdot t_0^l t^{(-n+j)\mathbf{m}_1 + k\mathbf{m}_2} \cdot v_0 \mid 0 \le j < n \} \subset V_{-n}, \forall \ n \in \mathbf{N}.$$

Now we prove that  $\mathscr{A}$  is a set of linear independent vectors. If

$$\sum_{i=0}^{n-1} \lambda_j (t_0^{\bar{0}} t^{-\mathbf{m}_1})^j t_0^l t^{(-n+j)\mathbf{m}_1 + k\mathbf{m}_2} \cdot v_0 = 0,$$

then for any  $0 \le i < n-1$  we have

$$0 = q^{n(n-i)m_{11}m_{12}-k(n-i)m_{12}m_{21}}t_0^{\bar{0}}t^{(n-i)\mathbf{m}_1} \cdot \sum_{j=0}^{n-1} \lambda_j (t_0^{\bar{0}}t^{-\mathbf{m}_1})^j \cdot t_0^l t^{(-n+j)\mathbf{m}_1+k\mathbf{m}_2} \cdot v_0$$

$$= \sum_{j=0}^i \lambda_j q^{j(n-i)m_{11}m_{12}}((-1)^{l(n-i)m_{11}} - q^{k(n-i)\alpha})(t_0^{\bar{0}}t^{-\mathbf{m}_1})^j \cdot t_0^l t^{(j-i)\mathbf{m}_1+k\mathbf{m}_2} \cdot v_0,$$

where  $\alpha = m_{11}m_{22} - m_{12}m_{21}$ , which deduces  $\lambda_0 = \cdots = \lambda_{n-1} = 0$ . Hence  $\mathscr{A}$  is a set of linear independent vectors in  $V_{-n}$  and thus

$$\dim V_{-n} \geq n$$
.

Therefore V is not a uniformly bounded module by the arbitrariness of n.

In [21], Rao gives a classification of the integrable modules with nonzero center for the core of EALAs coordinatized by quantum tori. We want to prove that the L-modules constructed in this paper are in general not integrable. First we recall the concept of the integrable modules. Let  $\tau$  be the Lie algebra defined in Section 2. A  $\tau$ -module V is integrable if, for any  $v \in V$  and  $\mathbf{m} \in \mathbf{Z}^2$ , there exist  $k_1 = k_1(\mathbf{m}, v), k_2 = k_2(\mathbf{m}, v)$  such that  $(E_{12}(t^{\mathbf{m}}))^{k_1} \cdot v = (E_{21}(t^{\mathbf{m}}))^{k_2} \cdot v = 0$ . Thus by Proposition 2.1, an L-module V is integrable if, for any  $v \in V$  and  $\mathbf{m} = (2m_1 + 1, m_2) \in \mathbf{Z}^2$ , there exist  $k_1 = k_1(\mathbf{m}, v), k_2 = k_2(\mathbf{m}, v)$  such that

$$(t_0^{\bar{0}}t^{\mathbf{m}} + t_0^{\bar{1}}t^{\mathbf{m}})^{k_1} \cdot v = 0 = (t_0^{\bar{0}}t^{\mathbf{m}} - t_0^{\bar{1}}t^{\mathbf{m}})^{k_2} \cdot v = 0.$$

**Theorem 4.6** Nontrivial modules  $M^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$  or  $M^+(\mu, \psi, \mathbf{m}_1, \mathbf{m}_2)$  is not integrable.

**Proof** Set  $V \cong M^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$  or  $V \cong M^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$  and  $V = \bigoplus_{k \in \mathbf{Z}_+} V_{-k}$ . Choose two positive integers a and b such that  $\mathbf{m} = a\mathbf{m}_1 + b\mathbf{m}_2 =: (2k+1, l)$ . Let  $v_0 \in V_0$  be an eigenvector of  $t_0^{\bar{1}}$ . Then we have

$$(t_0^{\bar{0}}t^{\mathbf{m}} \pm t_0^{\bar{1}}t^{\mathbf{m}}) \cdot v_0 = 0,$$

by the construction of V. On the other hand, by using the isomorphism  $\varphi$  defined in Proposition 2.1, we have

$$\varphi(t_0^{\bar{0}}t^{\mathbf{m}} + t_0^{\bar{1}}t^{\mathbf{m}}) = 2E_{21}(t_1^{m_1+1}t_2^{m_2}), \quad \varphi(t_0^{\bar{0}}t^{\mathbf{m}} - t_0^{\bar{1}}t^{\mathbf{m}}) = 2q^{-m_2}E_{12}(t_1^{m_1}t_2^{m_2}),$$

and

$$\varphi(t_0^{\bar{0}}t^{-\mathbf{m}} + t_0^{\bar{1}}t^{-\mathbf{m}}) = 2E_{21}(t_1^{-m_1}t_2^{-m_2}), \quad \varphi(t_0^{\bar{0}}t^{-\mathbf{m}} - t_0^{\bar{1}}t^{-\mathbf{m}}) = 2q^{m_2+1}E_{12}(t_1^{-m_1-1}t_2^{-m_2}).$$

Thus, by a well-known result on the  $sl_2$ -modules, we see that if V is integrable then

$$t_0^{\bar{1}} \cdot v_0 = 0, \quad (t_0^{\bar{0}} t^{-\mathbf{m}} + t_0^{\bar{1}} t^{-\mathbf{m}}) \cdot v_0 = 0, \quad (t_0^{\bar{0}} t^{-\mathbf{m}} - t_0^{\bar{1}} t^{-\mathbf{m}}) \cdot v_0 = 0.$$

So  $t_0^{\bar{0}}t^{-\mathbf{m}}$ ,  $t_0^{\bar{1}}t^{-\mathbf{m}}$  act trivially on  $v_0$ . On the other hand, the construction of V shows that  $t_0^it^{2\mathbf{m}\pm\mathbf{m}_1}$ ,  $t_0^it^{2\mathbf{m}\pm\mathbf{m}_2}$  act trivially on  $v_0$ . Thus L acts trivially on  $v_0$  since L is generated by  $t_0^{\bar{0}}t^{-\mathbf{m}}$ ,  $t_0^{\bar{1}}t^{-\mathbf{m}}$ ,  $t_0^it^{2\mathbf{m}\pm\mathbf{m}_1}$ ,  $t_0^it^{2\mathbf{m}\pm\mathbf{m}_2}$ . Hence V is a trivial L-module, a contradiction.

## §5 Two classes of highest weight $\mathbb{Z}^2$ -graded L-modules

In this section, we construct two classes of highest weight quasi-finite irreducible  $\mathbb{Z}^2$ -graded L-modules. For any highest weight  $\mathbb{Z}$ -graded L-module  $V = \bigoplus_{k \in \mathbb{Z}_+} V_{-k}$ , set  $V_{\mathbb{Z}^2} = V \otimes \mathbb{C}[x^{\pm 1}]$ . We define the action of the elements of L on  $V_{\mathbb{Z}^2}$  as follows

$$t_0^i t^{m\mathbf{m}_1 + n\mathbf{m}_2} \cdot (v \otimes x^r) = (t_0^i t^{m\mathbf{m}_1 + n\mathbf{m}_2} \cdot v) \otimes x^{r+n},$$

where  $v \in V$ ,  $i \in \mathbf{Z}_2$ ,  $r, m, n \in \mathbf{Z}$ . For any  $v_{-k} \in V_{-k}$ , we define the degree of  $v_{-k} \otimes t^r$  to be  $-k\mathbf{m}_1 + r\mathbf{m}_2$ . Then one can easily see that  $V_{\mathbf{Z}^2}$  becomes a  $\mathbf{Z}^2$ -graded L-module. Let W be an irreducible  $\mathbf{Z}$ -graded L0-submodule of  $V_0 \otimes \mathbf{C}[x^{\pm 1}]$ . We know that the L-module  $V_{\mathbf{Z}^2}$  has a unique maximal proper submodule  $J_W$  which intersects trivially with W. Then we have the irreducible  $\mathbf{Z}^2$  graded L-module

$$V_{\mathbf{Z}^2}/J_W$$
.

Now by Theorem 4.3, we have the following result.

**Theorem 5.1** (1) If  $m_{21}$  is an even integer then  $M^{+}_{\mathbf{Z}^{2}}(\psi, \mathbf{m}_{1}, \mathbf{m}_{2})/J_{W}$  is a quasi-finite irreducible  $\mathbf{Z}^{2}$ -graded L-module for any exp-polynomial function  $\psi$  over  $L_{0}$  and any irreducible  $\mathbf{Z}$ -graded  $L_{0}$ -submodule W of  $V_{0} \otimes \mathbf{C}[x^{\pm 1}]$ .

(2) If  $m_{21}$  is an odd integer then  $M^+_{\mathbf{Z}^2}(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)/J_W$  is a quasi-finite irreducible  $\mathbf{Z}^2$ -graded L-module for any exp-polynomial function  $\psi$  over  $\mathcal{A}$ , any finite sequence of nonzero distinct numbers  $\underline{\mu} = (a_1, \dots, a_{\nu})$ , any finite dimensional irreducible  $sl_2$ -modules  $v_1, \dots, v_{\nu}$  and irreducible  $sl_2$ -modules  $sl_2$ 

**Remark 5.2** Since  $V_0 \otimes \mathbf{C}[x^{\pm 1}]$  and  $V(\underline{\mu}, \psi) \otimes \mathbf{C}[x^{\pm 1}]$  are in general not irreducible  $L_0$ modules,  $M_{\mathbf{Z}^2}^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$  and  $M_{\mathbf{Z}^2}^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$  are in general not irreducible. For example, if  $m_{21}$  is an even integer then we can define an exp-polynomial function  $\psi$  over  $L_0$  as follows

$$\psi(t_0^j t^{i\mathbf{m}_2}) = \frac{(-1)^i + 1}{(1 - (-1)^j q^{i\alpha}) q^{\frac{1}{2}i^2 m_{21} m_{22}}}, \quad \psi(\beta) = 2, \quad \psi(t_0^{\bar{1}} t^{\mathbf{0}}) = \frac{1}{2}.$$

One can check that  $W = v_0 \otimes \mathbf{C}[x^{\pm 2}]$  is an irreducible **Z**-graded  $L_0$ -submodule of  $v_0 \otimes \mathbf{C}[x^{\pm 1}]$ . Thus the **Z**<sup>2</sup>-graded L-module  $M_{\mathbf{Z}^2}^+(\psi, \mathbf{m}_1, \mathbf{m}_2)$  corresponding to this function  $\psi$  is not irreducible. Suppose  $m_{21}$  be an odd integer. Let  $V_1$  be the three dimensional irreducible  $sl_2$ -module with the highest weight vector  $v_2$ . Denote  $E_{21} \cdot v_2$  and  $(E_{21})^2 \cdot v_2$  by  $v_0, v_{-2}$  respectively. Then, for  $\underline{\mu} = (1)$ , the exp-polynomial function  $\psi = 0$  over  $\mathcal{A}$  and the  $sl_2$ -module  $V_1$ , one can see that

$$W = \langle v_2 \otimes x^{2k} \mid k \in \mathbf{Z} \rangle \oplus \langle v_{-2} \otimes x^{2k} \mid k \in \mathbf{Z} \rangle \oplus \langle v_0 \otimes x^{2k+1} \mid k \in \mathbf{Z} \rangle,$$

is an irreducible **Z**-graded  $L_0$ -submodule of  $V(\underline{\mu}, \psi)$ . Thus the corresponding  $Z^2$ -graded L-module  $M_{\mathbf{Z}^2}^+(\underline{\mu}, \psi, \mathbf{m}_1, \mathbf{m}_2)$  is not an irreducible module.

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